

INVITATION TO BID

SBD 1

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE NATIONAL TREASURY

BID NUMBER: NT019-2016

CLOSING DATE: 24 October 2016

CLOSING TIME: 11:00

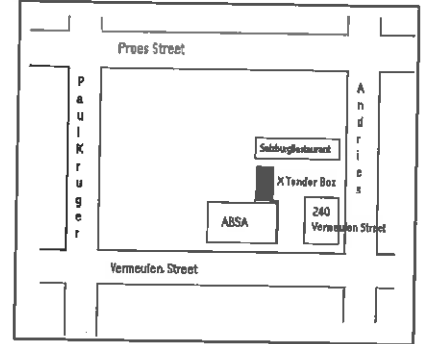
APPOINTMENT OF A TECHNICAL ASSISTANT TO ASSIST AND SUPPORT THE KZN PROVINCIAL TREASURY IN THE IMPLEMENTATION OF THE INFRASTRUCTURE DELIVERY MANAGEMENT SYSTEM (IDMS) FROM DECEMBER 2016 TO FEBRUARY 2018.

The successful bidder will be required to fill in and sign a written Contract Form (SBD 7).

BID DOCUMENTS MAY BE POSTED TO:
DIRECTOR: SUPPLY CHAIN MANAGEMENT
PRIVATE BAG X115
PRETORIA
0001

OR

DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)
240 Madiba Street between
ABSA and Urban Eatery Restaurant



Bidders should ensure that bids are delivered timeously to the correct address. If the bid is late, it will not be accepted for consideration.

ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS – (NOT TO BE RE-TYPED)

THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2011, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT

THE FOLLOWING PARTICULARS MUST BE FURNISHED
(FAILURE TO DO SO MAY RESULT IN YOUR BID BEING DISQUALIFIED)

NAME OF BIDDER
POSTAL ADDRESS
STREET ADDRESS
TELEPHONE NUMBER CODE.....NUMBER.....
CELLPHONE NUMBER
FACSIMILE NUMBER CODENUMBER.....
E-MAIL ADDRESS
VAT REGISTRATION NUMBER

HAS AN ORIGINAL AND VALID TAX CLEARANCE CERTIFICATE BEEN SUBMITTED? (SBD 2) YES or NO

HAS A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE BEEN SUBMITTED? (SBD 6.1) YES or NO

IF YES, WHO WAS THE CERTIFICATE ISSUED BY?

AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA).....

A VERIFICATION AGENCY ACCREDITED BY THE SOUTH AFRICAN ACCREDITATION SYSTEM (SANAS); OR.....
A REGISTERED AUDITOR
[TICK APPLICABLE BOX]

(A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE)

ARE YOU THE ACCREDITED REPRESENTATIVE
IN SOUTH AFRICA FOR THE GOODS / SERVICES / WORKS OFFERED?
NO

YES or

[IF YES ENCLOSE PROOF]

SIGNATURE OF BIDDER

DATE

CAPACITY UNDER WHICH THIS BID IS SIGNED

TOTAL BID PRICE..... TOTAL NUMBER OF ITEMS OFFERED

ANY ENQUIRIES REGARDING THE BIDDING PROCEDURE MAY BE DIRECTED TO:

Department: National Treasury

Contact Person: Mthokozisi Ngcobo / Neo Hara

E-mail address: Mthokozisi.Ngcobo@treasury.gov.za / Neo.Hara@treasury.gov.za

ANY ENQUIRIES REGARDING TECHNICAL INFORMATION MAY BE DIRECTED TO:

Technical enquiries:

Contact Person: Thabisa Myolwa / Tau Malapane

Email: Thabisa.Myolwa@treasury.gov.za / Tau.Malapane@treasury.gov.za

TAX CLEARANCE CERTIFICATE REQUIREMENTS

It is a condition of bid that the taxes of the successful bidder must be in order, or that satisfactory arrangements have been made with South African Revenue Service (SARS) to meet the bidder's tax obligations.

- 1 In order to meet this requirement bidders are required to complete in full the attached form TCC 001 "Application for a Tax Clearance Certificate" and submit it to any SARS branch office nationally. The Tax Clearance Certificate Requirements are also applicable to foreign bidders / individuals who wish to submit bids.
- 2 SARS will then furnish the bidder with a Tax Clearance Certificate that will be valid for a period of 1 (one) year from the date of approval.
- 3 The original Tax Clearance Certificate must be submitted together with the bid. Failure to submit the original and valid Tax Clearance Certificate will result in the invalidation of the bid. Certified copies of the Tax Clearance Certificate will not be acceptable.
- 4 In bids where Consortia / Joint Ventures / Sub-contractors are involved, each party must submit a separate Tax Clearance Certificate.
- 5 Copies of the TCC 001 "Application for a Tax Clearance Certificate" form are available from any SARS branch office nationally or on the website www.sars.gov.za.
- 6 Applications for the Tax Clearance Certificates may also be made via eFiling. In order to use this provision, taxpayers will need to register with SARS as eFilers through the website www.sars.gov.za.



Application for a Tax Clearance Certificate

Purpose

Select the applicable option

Tenders

Good standing

If "Good standing", please state the purpose of this application

Empty text box for purpose of application

Particulars of applicant

Name/Legal name
(Initials & Surname
or registered name)

Grid for Name/Legal name

Trading name
(if applicable)

Grid for Trading name

ID/Passport no

Grid for ID/Passport no

Company/Close Corp.
registered no

Grid for Company/Close Corp. registered no

Income Tax ref no

Grid for Income Tax ref no

PAYE ref no 7

Grid for PAYE ref no

VAT registration no 4

Grid for VAT registration no

SDL ref no L

Grid for SDL ref no

Customs code

Grid for Customs code

UIF ref no U

Grid for UIF ref no

Telephone no

Grid for Telephone no

Fax no

Grid for Fax no

E-mail address

Grid for E-mail address

Physical address

Grid for Physical address

Postal address

Grid for Postal address

Particulars of representative (Public Officer/Trustee/Partner)

Surname

Grid for Surname

First names

Grid for First names

ID/Passport no

Grid for ID/Passport no

Income Tax ref no

Grid for Income Tax ref no

Telephone no

Grid for Telephone no

Fax no

Grid for Fax no

E-mail address

Grid for E-mail address

Physical address

Grid for Physical address

Particulars of tender (If applicable)

Tender number

Estimated Tender amount R:

Expected duration of the tender year(s)

Particulars of the 3 largest contracts previously awarded

Date started	Date finalised	Principal	Contact person	Telephone number	Amount

Audit

Are you currently aware of any Audit investigation against you/the company?
If "YES" provide details YES NO

Appointment of representative/agent (Power of Attorney)

I the undersigned confirm that I require a Tax Clearance Certificate in respect of Tenders or Goodstanding.

I hereby authorise and instruct to apply to and receive from SARS the applicable Tax Clearance Certificate on my/our behalf

- -
Signature of representative/agent Date

Name of representative/agent

Declaration

I declare that the information furnished in this application as well as any supporting documents is true and correct in every respect.

- -
Signature of applicant/Public Officer Date

Name of applicant/Public Officer

Notes:

1. It is a serious offence to make a false declaration.
2. Section 75 of the Income Tax Act, 1962, states: Any person who
 - (a) fails or neglects to furnish, file or submit any return or document as and when required by or under this Act; or
 - (b) without just cause shown by him, refuses or neglects to-
 - (i) furnish, produce or make available any information, documents or things;
 - (ii) reply to or answer truly and fully, any questions put to him ...As and when required in terms of this Act ... shall be guilty of an offence ...
3. **SARS will, under no circumstances, issue a Tax Clearance Certificate unless this form is completed in full.**
4. Your Tax Clearance Certificate will only be issued on presentation of your South African Identity Document or Passport (Foreigners only) as applicable.

PRICING SCHEDULE
(Professional Services)

NAME OF BIDDER: BID NO: NT019-2016

CLOSING TIME 11:00 ON 24 October 2016

OFFER TO BE VALID FOR 60 DAYS FROM THE CLOSING DATE OF BID.

ITEM NO	DESCRIPTION	BID PRICE IN RSA CURRENCY INCLUSIVE OF <u>VALUE ADDED TAX</u>
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APPOINTMENT OF A TECHNICAL ASSISTANT TO ASSIST AND SUPPORT THE KZN PROVINCIAL TREASURY IN THE IMPLEMENTATION OF THE INFRASTRUCTURE DELIVERY MANAGEMENT SYSTEM (IDMS).

1. Services must be quoted in accordance with the attached terms of reference and the paragraph below:

Financial proposal should be outlined in a manner that clearly state hourly rates and the total cost for the entire duration of the contract (160 hours per month from 1 December 2016 to 28 February 2018)¹. Disbursements will be provided at 5% of the proposed (quoted) contract value (Please do not include/add this amount in your quotation). No amount will be paid for relocation related expenses where the resource would require relocating).

Total cost of the assignment (R inclusive VAT)

R.....

NB: Bidders are also advised to indicate a total cost breakdown for this assignment.

The financial proposal for this assignment should cover for all assignment activities and outputs enumerated above.

2. Period required for commencement with project after acceptance of bid _____
3. Are the rates quoted firm for the full period? Yes/No
4. If not firm for the full period, provide details of the basis on which Adjustments will be applied for, for example consumer price index.

Any enquiries regarding bidding procedures may be directed to –

Department: National Treasury

Contact Person: Mthokozisi Ngcobo / Neo Hara

E-mail address: Mthokozisi.Ngcobo@treasury.gov.za / Neo.hara@treasury.gov.za

Any enquiries regarding technical enquiries may be directed to –

Contact Person: Thabisa Myolwa / Tau Malapane

Email: Thabisa.Myolwa@treasury.gov.za / Tau.Malapane@treasury.gov.za

PLEASE REFER TO THE ATTACHED TERMS OF REFERENCE FOR MORE INFORMATION.



TERMS OF REFERENCE

NT019-2016

DESCRIPTION:

APPOINTMENT OF A TECHNICAL ASSISTANT TO ASSIST AND SUPPORT THE KZN PROVINCIAL TREASURY IN THE IMPLEMENTATION OF THE INFRASTRUCTURE DELIVERY MANAGEMENT SYSTEM (IDMS)

Closing Date: 24 October 2016

Time: 11:00

**BID NUMBER: NT019-2016****APPOINTMENT OF A TECHNICAL ASSISTANT TO ASSIST AND SUPPORT THE KZN PROVINCIAL TREASURY IN THE IMPLEMENTATION OF THE INFRASTRUCTURE DELIVERY MANAGEMENT SYSTEM (IDMS)****PERIOD: December 2016 to 28 February 2018¹****1. INTRODUCTION**

The delivery of infrastructure in the education, health and roads sectors is a key strategic thrust of the South African Government in ensuring socio-economic growth, development, poverty alleviation and social stability. However, there are challenges, risks and opportunities for the Government in delivering on a large quantum of quality infrastructure in these sectors.

The National Development Plan (NDP) 2030 describes these challenges when it explains that in a society with deep social and economic divisions, neither social nor economic transformation is possible without a capable and developmental state. According to the NDP the main challenge which also affects infrastructure management and delivery has been the unevenness in capacity that leads to uneven performance in local, provincial and national government. This is caused by a complex set of factors, including tensions in the political-administrative interface, instability of the administrative leadership, a skills deficit, the erosion of accountability and authority, poor organisational design and low staff morale. The weaknesses in capacity and performance are most serious in historically disadvantaged areas, where state intervention is most needed to improve people's quality of life.

According to the NDP there have been many individual Government initiatives to address weaknesses in the State, but there is a tendency to not give focused attention over an extended period of time. Frequent changes in approaches and interventions have created instability in organisational structures and policy approaches that further strain limited capacity. The search for a quick fix has diverted attention from more sustainable approaches.

As early as 2001 it became evident to the Government that the objective of increased investment in infrastructure will not be achieved by only increasing infrastructure funding flows (largely provided in the form of conditional grants, but supported by the provincial equitable share). When the Infrastructure Grant to Provinces was introduced to step up delivery for health, education and roads in the provinces, budgets were underspent. It became evident that increased public investment in infrastructure development and maintenance is not only dependent on more funds, but funding must coincide with sufficient capacity to plan and manage the delivery of infrastructure effectively and efficiently. The precondition for providing the right infrastructure that contributes to

^{2 1} The exact period of assumption of duties (01 December 2016) is subject to the completion of the entire Procurement Process however the end of the contract is fixed (28 February 2018)



growth and development is in developing the right enabling institutional environment, in all its dimensions.

Traditional models of service delivery enhancement are simply insufficient for Government to deal with these challenges in a comprehensive manner. It requires co-operation and co-ordination across government (and with the private sector). The Infrastructure Delivery Improvement Programme (IDIP) was thus established as a capacity building programme which aims to build sustainable infrastructure management and delivery capacity in the long term.

1. DEVELOPING AN INFRASTRUCTURE DELIVERY MANAGEMENT SYSTEM

The Infrastructure Delivery Improvement Programme (IDIP) was initiated with a pilot in 2004. Since 2004, IDIP has been through three phases which progressively built capacity and systems in provincial and national government departments.

Strong and effective partnerships were a prerequisite to the success of the programme. IDIP was managed by means of a strong partnership which was institutionalized at the national sphere of government. The IDIP PMU was constituted of senior officials from the national Departments of Basic Education and Health, the National Treasury as well as the Development Bank of Southern Africa (DBSA) and the Construction Industry Development Board (CIDB). The programme was implemented through the Provincial Treasuries and the Provincial Departments of Health, Education.

Phase III of IDIP commenced in April 2010 and was initially designed to close in March 2013, but the Programme was extended for additional year. Over this phase of IDIP, the following activities and outputs were undertaken:

- The Infrastructure Delivery Management (IDM) Toolkit¹ was refined, enhanced;
- Construction procurement standards were developed and elevated to strategic importance in the IDM Toolkit in order to promote new options and alternative delivery approaches for infrastructure delivery and procurement;
- A Human Resource Capacitation Framework for infrastructure units, which identifies and defines the core competencies and expertise required to sustain effective infrastructure delivery, was developed for the education and health sectors;

The IDM Toolkit is currently hosted by the Construction Industry Development Board and can be found at the current address: <http://www.cidb.org.za/procurement/delivery/toolkit/default.aspx>

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- A foundation and executive training course (referred to as the Infrastructure Delivery Management Programme (IDMP)) was developed and piloted in partnership with the University of Pretoria;
- Provinces developed and adopted intergovernmental agreements which define the roles, responsibilities and accountabilities of each department in a system of cooperative government (IDMS Strategic Agreements); and
- A standardised approach to infrastructure delivery management (referred to as the Infrastructure Delivery Management System or IDMS) was developed and incorporated into relevant legislation.

An independent Strategic Review of IDIP, commissioned by the National Treasury and conducted between September and November 2013, found that IDIP made good progress in relation to the areas outlined above – but most specifically in relation to establishing a standardised rule-based intergovernmental system for infrastructure delivery and management.

Nevertheless, although the Programme has made good progress in most areas, the Review exercise also concluded that the IDMS has not been sufficiently institutionalised in most departments. The implementation and sustainability of the IDMS therefore remains a challenge.

Based on the recommendations of the independent Review, National Treasury is thus initiating another phase for the IDIP programme. IDIP Phase IV will focus specifically on embedding the outputs and work done in the previous phase of the programme. The purpose of the Programme, in phase IV, is thus to support the national and provincial partners to sustainably implement the Infrastructure Delivery Management System (IDMS).

This Terms of Reference deals with the need to appoint suitably qualified and experienced Technical Assistants to assist Provincial Treasuries with the implementation of the IDMS.

2. PURPOSE

The overall Goal of the Terms of Reference is to contribute to the achievement of Government's strategy to achieve socio-economic growth and development through improved infrastructure delivery. This goal is to be achieved through the appointment of suitably qualified and experienced Technical Assistants to assist and support the Provincial Treasury Infrastructure Unit in their support to the provincial departments of Education, Health and Public Works specifically, with managing the implementation and coordination

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of the IDIP Phase IV signed off provincial Technical Assistance Agreement and the Logical Framework.

The specific purpose of the terms of reference is to build the capability (capacity and ability) of the Infrastructure Unit in the Provincial Treasury to achieve and sustain the four (4) outputs contained within the IDIP Phase IV provincial Logical Framework namely:

- The IDMS is institutionalised and sustainable in the Province;
- The Infrastructure Delivery Management (IDM) processes are implemented and are being used by the Province to plan, implement and assess infrastructure delivery;
- There is appropriate capacity in the PT and the Provincial Departments of Education and Health to implement and sustain the approved Provincial IDMS; and
- IDMS evaluation, monitoring and reporting systems are implemented and functional in the Province.

3. SCOPE OF WORK

3.2.1 Output 1: The IDMS is institutionalised and sustainable in the Province.

- I. Assist the province (PT, Health, Education and Public Works) to comply with IDMS Division of Revenue Act (DoRA) requirements;
- II. Assist and support the PT in the establishment and coordination of a provincial IDMS Coordination Forum; and
- III. Assist and support with the review and enhancement of the provincial IDMS Framework Agreement and its implementation in the related departments.

3.2.2 Output 2: The Infrastructure Delivery Management (IDM) processes are implemented and are being used by the Province to plan, implement and assess infrastructure delivery.

- I. Assist and support the improvement of the infrastructure portfolio planning process in the related departments within the provincial context;
- II. Assist and support the province with the development of improved infrastructure delivery strategies in the related departments;
- III. Assist and support the province in improving the infrastructure implementation planning process in the related departments;
- IV. Assist and support the province with the alignment of the Provincial IDMS with the National Treasury Regulations and Standards, and the compliance thereof; and

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- V. Assist and support the enhancement of the IDM Toolkit and contribute to the development of the IDMS Body of Knowledge.

3.2.3 Output 3: There is appropriate capacity in the PT and the Provincial Departments of Education and Health to implement and sustain the approved Provincial IDMS.

- I. Assist and support the province with the implementation of the provincial IDMS HR Capacitation Framework in line with National Treasury requirements;
- II. Assist and support the PT with the coordination of all IDMS related training in line with National and Provincial Treasury requirements; and
- III. Assist and support the PT with the coordination of (and contribute to) IDMS knowledge sharing and lesson learning initiatives.

3.2.4 Output 4: IDMS evaluation, monitoring and reporting systems are implemented and functional in the Province.

- I. Assist and support the PT with the implementation of the IDMS capability and performance management system; and
- II. Assist and support the PT with all reporting, monitoring and evaluation requirements in accordance with the Technical Assistance Agreement including reviews and surveys.

4. QUALIFICATIONS, SKILLS AND EXPERIENCE

4.1 Qualifications:

- A post-graduate qualification in a built environment related discipline, preferably engineering, architecture or quantity surveying; and
- Professional registration at a professional body in the built environment.

4.2 Skills

- In-depth understanding of legislation and strategic frameworks relating to infrastructure asset management and infrastructure delivery management in the public sector;
- In-depth knowledge of the development and implementation of the Infrastructure Delivery Management System and the Standard for Construction Procurement;
- Understanding of portfolio, programme and project management principles as described in the IDMS;

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- Strong communication, report writing and presentation skills;
- Ability to successfully engage with multi-disciplinary and multi-cultural teams;
- Ability to facilitate knowledge sharing and capacity building workshops; and
- Ability to present training at higher education institutions.

4.3 Additional skills required

The Province of KZN has since continued with institutionalisation of IDMS. One of the province's priorities is to review the current provincial IDMS framework document developed in 2012 to respond to the current challenges and needs of the province and institutionalise IDMS best practices.

KZN PT has fully committed and invested in infrastructure capacity building to assist other Departments, including more recently Department of Transport which is allocated fifty per cent of provincial budget. Integration and clear objectives are very critical for the province.

For this reason(s) that the Provincial Treasury require the following skills in addition to the Standard IDIP TA requirements:

- Must have in depth understanding of IDMS and its objectives;
- Strong project management skills;
- Good knowledge of asset management principles, including maintenance.
- Strong interpersonal skills - an individual who work well in a team and able to communicate; and
- Good communication skills - an individual with good writing skills.

4.4 Experience:

- Extensive experience in the development and implementation of infrastructure delivery management systems processes and procedures in the public sector (10 years' Experience)
- Extensive experience in institutional capacity building in public sector infrastructure delivery management;
- Extensive experience in transferring of knowledge and skills to officials responsible for infrastructure delivery in the public sector;
- Experience with and in depth knowledge of the development and implementation of the Infrastructure Delivery Management System and the Standards for Construction Procurement [minimum of 3 years' experience];

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- Extensive experience in infrastructure planning in the public sector;
- Extensive experience in the management of infrastructure programmes and projects in the public sector;
- Extensive experience in infrastructure operations and maintenance management in the public sector;
- Extensive experience in construction procurement in the public sector;
- Experience in property portfolio management;
- Experience with the development, design and presentation of training material; and
- Experience and expertise in the design and implementation of programme and project management support structures.

5. TIMEFRAME (PROJECT DURATION)

The Technical Assistant is required to be available for the period December 2016 to 29 February 2018¹. The time should be calculated at 160 hours Month.

6. RESOURCES AND RESPONSIBILITIES

The Technical Assistant will be responsible for providing his/her own laptop, cell phone, printer and access to internet for this assignment. The Client will make provision for all other equipment and supporting infrastructure that will be necessary to successfully deliver on this assignment.

7. PROJECT OUTPUT/OUTCOMES

- **The IDMS is institutionalised and sustainable in the Province.**
- **The Infrastructure Delivery Management (IDM) processes are implemented and are being used by the Province to plan, implement and assess infrastructure delivery**
- **There is appropriate capacity in the PT and the Provincial Departments of Education and Health to implement and sustain the approved Provincial IDMS.**
- **IDMS evaluation, monitoring and reporting systems are implemented and functional in the Province.**

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8. REPORTING

8.1 Reporting requirements

The Technical Assistant will be contracted by the National Treasury, but will be assigned to KZN Provincial Treasury In relation to the outputs to be delivered Technical Assistant will report to the KZN Provincial Treasury IDIP Champion his/her nominated delegate. An inception report, work plan and close report must be prepared in line with the format provided by IDIP

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8.2 Work-plan

The Work-plan must be prepared within 21 working days from the date of assumption of duties and such should be signed by the Technical Assistant together with the IDIP Champion/delegated official in KwaZulu Natal Provincial Treasury.

The Work-plan must be developed in a manner that links to the Outputs, Tasks and Activities as contained in the Terms of Reference.

8.3 Close-Out Report

A draft Close-out report must be developed which links to the Outputs, Tasks and Activities as contained in the Terms of Reference and approved Work-plan by the end of the first month of appointment. The Close-out report must be kept up to date from month two of the assignment in order to minimize time spend at the end of the contract. All deliverables are to be placed on 2 memory sticks (1 copy to be handed over to the PT Champion and the second copy to the NT IDIP Director).

9. SUBMISSION REQUIREMENTS

9.1 Sub-contracting and outsourcing (areas prohibited from outsourcing)

The appointed individual resource shall not outsource any portion of the work.

9.2 Financial proposal/ costing structure

Financial proposal should be outlined in a manner that clearly state hourly rate and the total cost for the entire duration of the contract (160 hours per month from 1 December 2016 to 28 February 2018)¹. Disbursements will be provided at 5% of the proposed (quoted) contract value (Please do not include/add this amount in your quotation). No amount will be paid for relocation related expenses where the resource would require relocating.

10. CV's of individuals

The CV of the LTTA must not be longer than 5 pages plus attached certified copies of qualifications, certificates, professional registrations and letters of good standing with the professional entities/bodies where applicable.

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10.1 Project plan

The project plan must outline the project outputs and associated activities over the expected project duration.

10.2 Professional bodies affiliation

Registration with professional bodies that are within a built environment related discipline, preferably (engineering, architecture, quantity surveying or project management) is compulsory.

10.3 Accredited institutions

All Educational Qualifications should be from a South African accredited institution/s

10.4 Location of the assignment

The resource will be required to operate on full time basis in the offices of the KwaZulu Natal Provincial Treasury located in Pietermaritzburg.

11. EVALUATION METHODOLOGY (MATRIX)

The tenders will be evaluated in three stages namely:

- Stage 1: Professional Body affiliation and Minimum Education required**
- Stage 2: Functional Evaluation (Qualifications, Experience, Methodology and Interview)**
- Stage 3: Financial Offer and Preference Evaluation**

Stage 1: Professional Body affiliation and Minimum Education Required

Resources who fail to meet any of the requirements below will be disqualified from any further evaluation relating to this Bid.

- Registration with professional bodies that are within a built environment related discipline, preferably (engineering, architecture, quantity surveying or project management) is compulsory, failure or absence thereof should lead to immediate disqualification;

Stage 2: Functional Evaluation

A two gated evaluation process will be applied:

- Only those tenderers who score a minimum of 75% based on (Category (I, ii and iii) in order will be invited for the interview evaluation, those who do not meet the minimum requirement will not be considered further.

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Category	Functionality Criteria	Point (Maximum)
(i)	Academic qualifications in related field of study (Built Environment)	10
(ii)	Experience	10
(iii)	Methodology	45
Gate 1	Minimum score of 75% based on (Category (i, ii and iii) in order to proceed to category (iv)	
(iv)	Interview	35
Gate 2	Combined Minimum score of 60 out of the maximum score of 100	
TOTAL		100

The following evaluation criteria will be applied in evaluating the functionality of tenders.

i) Academic qualification in related field of study (10 Points)

SCORE	Qualification
5= PhD 4= Masters 3= Honours Degree 2= B Degree 1= N-Dip	Relevant Qualification in the Built Environment related Disciplines

ii) Experience (10 Points)

SCORE	Qualification
5 = > 10 years 4= >5-10 years 3 = >2-5 years 2= 1-2 years 1 = < 1 year	experience in the development and implementation of infrastructure delivery management systems, processes and procedures in the public sector

(iii) Methodology (45 points)

The scoring of the methodology will be as follows:-

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- Clear understanding of the project objective and key deliverables
- Concise and logical conceptualization of the relevant outcomes
- Practical and innovative institutionalization of the project plan

SCORE	DESCRIPTION OF EXPERIENCE
Poor – (1)	Tenderer has submitted no information or inadequate information to determine scoring level.
Below Average – (2)	Tenderer has submitted information with limited in-depth understanding of the programme and project related systems and processes.
Good- (3)	Tenderer has submitted information which demonstrate adequate understanding of the programme (concise and logical) and indicated the sensitivity needed to work with varied stakeholders in such a context.
Very Good- (4)	Tenderer has submitted information which demonstrate in-depth understanding of the project (clear, concise and logical) and also indicated a practical way to effectively implement the project.
Excellent- (5)	Tenderer has submitted information which demonstrate in-depth understanding of the IDIP (clear, concise and logical) which can be implemented through innovative thinking principles.

(iv) Interview (35 points)

The scoring of the relevant respondent during the interview process will be as follows:-

- Clear understanding on how the project objective and key deliverables will be achieved;
 - Concise and logical conceptualization of the relevant outcomes through work plans;
 - Practical and innovative institutionalization of the project within the specific environment;
- and,
- Presentation and interpersonal skills.

SCORE	DESCRIPTION OF EXPERIENCE
Poor – (1)	Tenderer presented the panel of interviewers information with no limited in-depth understanding to execute the project according to the methodology submitted.
Below Average – (2)	Tenderer presented the panel of interviewers information with limited in-depth understanding to execute the project according to the methodology submitted.
Good- (3)	Tenderer presented the panel of interviewers information which demonstrate adequate understanding on how to implement the project as indicated within the methodology.
Very Good- (4)	Tenderer presented the panel of interviewers information which demonstrate an in-depth understanding on how to practically implement the project within the specific environment as indicated within the methodology.

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Excellent- (5)	Tenderer presented the panel of interviewers information which demonstrate an in-depth understanding of the IDIP and also how it will be implemented through innovative thinking principles as explained in the methodology.
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Bidders must score at least 60 points in total for functionality in order to proceed for financial offer and preference evaluation.

11.1.1 Stage 3: Financial Offer & Preference shall be based on the 90/10 PPPFA Principle and the points for evaluation criteria are as follows:

Evaluation Criteria		Points
1.	Price	90
2.	Broad Based Black Economic Empowerment	10
3.	Total	100



SPECIAL REQUIREMENTS AND CONDITIONS OF CONTRACT

NT019-2016

**APPOINTMENT OF A TECHNICAL ASSISTANT TO ASSIST AND SUPPORT THE KZN
PROVINCIAL TREASURY IN THE IMPLEMENTATION OF THE INFRASTRUCTURE DELIVERY
MANAGEMENT SYSTEM (IDMS)**

CLOSING DATE: 24 October 2016

VALIDITY PERIOD: 90 DAYS

S U P P L Y C H A I N M A N A G E M E N T

A. SPECIAL CONDITIONS OF CONTRACT

This bid and all contracts emanating there from will be subject to the General Conditions of Contract issued in accordance with of the Treasury Regulations 16A published in terms of the Public Finance Management Act, 1999 (Act 1 of 1999). The Special Conditions of Contract are supplementary to that of the General Conditions of Contract. Where, however, the Special Conditions of Contract are in conflict with the General Conditions of Contract, the Special Conditions of Contract prevail.

1. EVALUATION PROCESS

1.1 The evaluation process comprises the following stages:

1.1.1 Stage 1: Initial screening process:

a. During this phase bid documents will be reviewed to determine compliance with tax matters and whether original and valid tax clearance certificates have been submitted with the bid documents at closing date and time of bid.

1.1.2 Stage 2: Technical Evaluation:

- a. Bids will be evaluated strictly according to the bid evaluation criteria stipulated in this section of the terms of reference. During this stage bidders' responses will be evaluated for functionality based on achieving a minimum score of 60 percent.
- b. Bidders must, as part of their bid documents, submit supportive documentation for all technical requirements as indicated hereunder. The panel responsible for scoring the respective bids will evaluate and score all bids based on their submissions and the information provided.
- c. Bidders will not rate themselves, but need to ensure that all information is supplied as required. The Bid Evaluation Committee (BEC) will evaluate and score all responsive bids and will verify all documents submitted by the bidders.
- d. The panel members will individually evaluate the responses received against the following criteria as set out below:

Evaluation Criteria

Evaluation process will be conducted in accordance with the mandatory requirements, technical suitability and the provision of the PPPFA as specified in the Special Conditions of the Contract. Below is an indication of the general evaluation criteria for the bid, the evaluation criteria and scoring will be tailored to respond to the requirements of the individual position as identified in the scope of work. Please note that each of the activities listed under the heading, Approach and Methodology will be scored separately during the evaluation process, a two gated evaluation process will be applied:

- Only those tenderers who score a minimum of 75% based on **(Category (I, ii and iii))** in order will be invited for the interview evaluation, those who do not meet the minimum requirement will not be considered further.

Category	Functionality Criteria	Point (Maximum)
(i)	Academic qualifications in related field of study (Built Environment)	10
(ii)	Experience	10
(iii)	Methodology	45
Gate 1	Minimum score of 75% based on (Category (I, ii and iii) in order to proceed to category (iv))	
(iv)	Interview	35
Gate 2	Combined Minimum score of 60 out of the maximum score of 100	
TOTAL		100

The following evaluation criteria will be applied in evaluating the functionality of tenders.

i) Academic qualification in related field of study (10 Points)

SCORE	Qualification
5= PhD 4= Masters 3= Honours Degree 2= B Degree 1= N-Dip	Relevant Qualification in the Built Environment related Disciplines

APPOINTMENT OF A TECHNICAL ASSISTANT TO ASSIST AND SUPPORT THE KZN PROVINCIAL TREASURY IN THE IMPLEMENTATION OF THE INFRASTRUCTURE DELIVERY MANAGEMENT SYSTEM (IDMS)

ii) Experience (10 Points)

SCORE	Qualification
5 = > 10 years	experience in the development and implementation of infrastructure delivery management systems, processes and procedures in the public sector
4= >5-10 years	
3 = >2-5 years	
2= 1-2 years	
1 = < 1 year	

(iii) Methodology (45 points)

The scoring of the methodology will be as follows:-

- Clear understanding of the project objective and key deliverables
- Concise and logical conceptualization of the relevant outcomes
- Practical and innovative institutionalization of the project plan

SCORE	DESCRIPTION OF EXPERIENCE
Poor – (1)	Tenderer has submitted no information or inadequate information to determine scoring level.
Below Average – (2)	Tenderer has submitted information with limited in-depth understanding of the programme and project related systems and processes.
Good- (3)	Tenderer has submitted information which demonstrate adequate understanding of the programme (concise and logical) and indicated the sensitivity needed to work with varied stakeholders in such a context.
Very Good- (4)	Tenderer has submitted information which demonstrate in-depth understanding of the project (clear, concise and logical) and also indicated a practical way to effectively implement the project.
Excellent- (5)	Tenderer has submitted information which demonstrate in-depth understanding of the IDIP (clear, concise and logical) which can be implemented through innovative thinking principles.

(iv) Interview (35 points)

The scoring of the relevant respondent during the interview process will be as follows:-

- Clear understanding on how the project objective and key deliverables will be achieved;
- Concise and logical conceptualization of the relevant outcomes through work plans;

- Practical and innovative institutionalization of the project within the specific environment; and,
- Presentation and interpersonal skills.

SCORE	DESCRIPTION OF EXPERIENCE
Poor – (1)	Tenderer presented the panel of interviewers information with no limited in-depth understanding to execute the project according to the methodology submitted.
Below Average – (2)	Tenderer presented the panel of interviewers information with limited in-depth understanding to execute the project according to the methodology submitted.
Good- (3)	Tenderer presented the panel of interviewers information which demonstrate adequate understanding on how to implement the project as indicated within the methodology.
Very Good- (4)	Tenderer presented the panel of interviewers information which demonstrate an in-depth understanding on how to practically implement the project within the specific environment as indicated within the methodology.
Excellent- (5)	Tenderer presented the panel of interviewers information which demonstrate an in-depth understanding of the IDIP and also how it will be implemented through innovative thinking principles as explained in the methodology.

Bidders must score at least 60 points in total for functionality in order to proceed for financial offer and preference evaluation.

The mandatory relevant experience forms a large component of the Technical Scorecard evaluation. It is, therefore, imperative that the requirements in the scope of work find expression in the CV submitted.

- e. Each panel member will rate each individual criterion on the score sheet using the following scale:

1 – Poor; 2 – Below Average, 3-Good, 4-Very Good, 5-Excellent

- f. The value scored for each criterion will be multiplied with the specified weighting for the relevant criterion to obtain the marks scored for each criterion. These marks will be added and expressed as a fraction of the best possible score for all criteria.
- g. This score will be converted to a percentage and **only** bidders that have met or exceeded the minimum threshold of 60 percent for functionality will be evaluated and scored in terms of pricing and socio economic goals as indicated hereunder.

- h. Any proposal not meeting a minimum score of 60 percent in the technical proposal will be disqualified and the financial proposal will remain unopened.

1.2.3 **Stage 2: Price/Financial stage:**

Price will be evaluated as indicated below (paragraph 2).

2. **EVALUATION CRITERIA**

- a. In terms of regulation 5 of the Preferential Procurement Regulations pertaining to the Preferential Procurement Policy Framework Act, 2000 (Act 5 of 2000), responsive bids will be adjudicated by the State on the 90/10-preference point for Broad-Based Black economic empowerment in terms of which points are awarded to bidders on the basis of:
- The bid price (maximum 90 points)
 - Broad-based black Economic Empowerment as well as specific goals (maximum 10 points)
- b. The following formula will be used to calculate the points for price in respect of bidders with a Rand value above R1 000 000:

$$P_s = 90 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

P_s = Points scored for comparative price of bid under consideration

P_t = Comparative price of bid under consideration

P_{\min} = Comparative price of lowest acceptable bid

A maximum of 10 points may be awarded to a bidder for being a Broad-Based Black Economic Empowerment and/or subcontracting with a Broad-Based Black Economic Empowerment stipulated in the Preferential Procurement regulations. For this bid the maximum number of Broad-based black Economic Empowerment status that could be allocated to a bidder is indicated in paragraph 2.1.

- c. The State reserves the right to arrange contracts with more than one contractor.
- d. It is the Government's intention to promote the following Broad-Based Black Economic Empowerment with this bid, and the points to be allocated are indicated against each level of contributor:

2.1 POINTS

The Preferential Procurement Policy Framework Act 2000 (PPPFA) Regulations were gazetted on 8 June 2011 (No. 34350) and effective from 7 December 2011. These regulations require bidders to submit valid original or certified copies of their B-BBEE Status Level Certificates from a SANAS accredited verification agency and accredited Auditing firm, the 90/10 preference points systems will be applied in accordance with the formula and applicable points provided for in the respective status level contributor tables in the Regulations.

B-BBEE Status Level of Contributor	Number of points (90/10 system)
1	10
2	9
3	8
4	5
5	4
6	3
7	2
8	1
Non-complaint contributor	0

Failure to capture the required status level and to submit the required B-BBEE status level certificates will lead to a zero (0) status level for non-compliant service providers.

- a. The points scored by a bidder in respect of the points indicated above will be added to the points scored for price.
- b. Bidders are requested to complete the various preference claim forms in order to claim preference points.
- c. Only a bidder who has completed and signed the declaration part of the preference claim form will be considered for B-BBEE status.
- d. Supply Chain Management may, before a bid is adjudicated or at any time, require a bidder to substantiate claims it has made with regard to B-BBEE status.
- e. Points scored will be rounded off to the nearest 2 decimals.
- f. In the event that two or more bids have scored equal total points, the contract will be awarded to the bidder scoring the highest number of points for B-BBEE status. Should

two or more bids be equal in all respects, the award shall be decided by drawing of lots.

- g. A contract may, on reasonable and justifiable grounds, be awarded to a bid that did not score the highest number of points.

3. MANDATORY REQUIREMENTS

- Tax clearance certificate as per paragraph 4;
- Registration summary report from Central Supplier Database;
- Compulsory Briefing Session as per paragraph 13.1;
- Registration with professional bodies that are within a built environment related discipline, preferably (engineering, architecture, quantity surveying or project management) is compulsory

FAILURE TO ADHERE TO THE CONDITIONS ABOVE WILL LEAD TO THE BID BEING INVALIDATED.

4. TAX CLEARANCE CERTIFICATE

An original and valid Tax Clearance Certificate issued by the South African Revenue Services certifying that the taxes of the bidder are in order must be submitted at the closing date and time. Failure to comply with this condition may invalidate the bid.

5. VALUE ADDED TAX

All bid prices must be inclusive of 14% Value-Added Tax.

6. REGISTRATION

Latest proof of company registration from Companies and Intellectual Property Commission (CIPC) must be submitted in the form of certified copies of the relevant registration documents

7. CLIENT BASE

- 7.1 Bidders must have specific experience in submit at least three recent references (in a form of written proof(s) on their company's letterhead including relevant person (s), telephone, fax numbers and e-mails) of similar work undertaken.

- 7.2 National Treasury reserves the right to contact references during the evaluation and adjudication process to obtain information.

8. SHAREHOLDERS/DIRECTORS PORTFOLIO

- 8.1 The bidder shall submit copies of the company's shareholding portfolio with the bid documents at the closing date and time of the bid.

9. COMMUNICATION

Supply Chain Management will communicate with bidders for, among others, where bid clarity is sought, to obtain information or to extend the validity period. Any communication either by facsimile, letter or electronic mail or any other form of correspondence to any government official, department or representative of a testing institution or a person acting in an advisory capacity for the National Treasury in respect of this bid between the closing date and the award of the bid by the bidder is prohibited.

10. COUNTER CONDITIONS

Bidders' attention is drawn to the fact that amendments to any of the Special Conditions by bidders will result in invalidation of such bids.

11. PROHIBITION OF RESTRICTIVE PRACTICES

- a. In terms of section 4(1) of the Competition Act No. 89 of 1998, as amended, an agreement between, or concerted practice by, firms, or a decision by an association of firms, is prohibited if it is between parties in a horizontal relationship and if a bidder(s) is/ are or a contractor(s) was/were involved in:
- directly or indirectly fixing a purchase or selling price or any other trading condition;
 - dividing markets by allocating customers, suppliers, territories or specific types of goods or services; or
 - collusive bidding.
- b. If a bidder(s) or contractor(s), in the judgment of the purchaser, has/have engaged in any of the restrictive practices referred to above, the purchaser may, without prejudice to any other remedy provided for, invalidate the bid(s) for such item(s) offered or terminate

the contract in whole or in part and refer the matter to the Competition Commission for investigation and possible imposition of administrative penalties as contemplated in the Competition Act No. 89 of 1998.

12. FRONTING

- a. The National Treasury supports the spirit of broad based black economic empowerment and recognizes that real empowerment can only be achieved through individuals and businesses conducting themselves in accordance with the Constitution and in an honest, fair, equitable, transparent and legally compliant manner. Against this background the National Treasury condemns any form of fronting.
- b. The National Treasury, in ensuring that bidders conduct themselves in an honest manner will, as part of the bid evaluation processes, conduct or initiate the necessary enquiries/investigations to determine the accuracy of the representation made in bid documents. Should any of the fronting indicators as contained in the Guidelines on Complex Structures and Transactions and Fronting, issued by the

Department of Trade and Industry be established during such enquiry/investigation, the onus will be on the bidder / contractor to prove that fronting does not exist. Failure to do so within a period of 14 days from date of notification may invalidate the bid/contract and may also result in the restriction of the bidder/contractor to conduct business with the public sector for a period not exceeding ten years, in addition to any other remedies the National Treasury may have against the bidder/contractor concerned.

13. PRESENTATION

National Treasury may require presentations/interviews from short-listed bidders as part of the bid process.

13.1 COMPULSORY BRIEFING SESSION:

Date: 06 October 2016

Time: 12:00

Venue: Department of National Treasury

240 Madiba Street, Pretoria, 0001

4th Floor Supply Chain Management, Room 401

Non-attendance of the briefing Session will invalidate the bid.

14. TIMEFRAMES AND FORMAL CONTRACT

Successful bidder(s) will be required to enter into formal contract with the National Treasury.

15. PACKAGING OF BID

The bidder shall place both the sealed Technical Proposal and Price/ Financial Proposal envelopes into an outer sealed envelope or package, and must be clearly marked as follows:

15.1.1 FUNCTIONALITY/TECHNICAL PROPOSAL

Bid No: NT019-2016

Description: Appointment of a Technical Assistant to Assist and Support the KZN Provincial Treasury in the Implementation of the Infrastructure Delivery Management System (IDMS).

Bid closing date and time: 24 October 2016 at 11:00.

NB: No late bids will be accepted, such bids will be returned to the bidder unopened.

Name and address of the bidder:

In this envelope, the bidder shall only address the technical aspects of the bid.

15.1.2 PRICE/ FINANCIAL PROPOSAL

Bid No: NT019-2016

Description: Appointment of a Technical Assistant to Assist and Support the KZN Provincial Treasury in the Implementation of the Infrastructure Delivery Management System (IDMS).

Bid closing date and time: 24 October 2016 at 11:00

NB: No late bids will be accepted, such bids will be returned to the bidder unopened.

Name and address of the bidder:

In this envelope, the bidder shall provide the price/ financial proposal.

The Technical Proposal envelope and the Price/ financial Proposal envelope shall contain one original hard copy document, clearly marked "Original", and four (4) hardcopies, clearly marked "Copy" (i.e. four documents to be included in each envelope).

APPOINTMENT OF A TECHNICAL ASSISTANT TO ASSIST AND SUPPORT THE KZN PROVINCIAL TREASURY IN THE IMPLEMENTATION OF THE INFRASTRUCTURE DELIVERY MANAGEMENT SYSTEM (IDMS)

16. CONTACT DETAILS

Supply Chain Management, 4th floor at National Treasury,

Private Bag x 115, Pretoria, 0001

Physical address: 240 Madiba Street (Vermeulen), Pretoria

For General enquiries: Mthokozisi Ngcobo / Neo Hara

E-mail: Mthokozisi.Ngcobo@treasury.gov.za / Neo.Hara@treasury.gov.za

For Technical enquiries: Thabisa Myolwa / Tau Malapane

Email: Thabisa.Myolwa@treasury.gov.za / Tau.Malapane@treasury.gov.za

SBD 4

DECLARATION OF INTEREST

1. Any legal person, including persons employed by the state¹, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes an advertised competitive bid, a limited bid, a proposal or written price quotation). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorised representative declare his/her position in relation to the evaluating/adjudicating authority where-

- the bidder is employed by the state; and/or

- the legal person on whose behalf the bidding document is signed, has a relationship with persons/a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.

2. **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

2.1 Full Name of bidder or his or her representative:

2.2 Identity Number:.....

2.3 Position occupied in the Company (director, trustee, shareholder², member):
.....

2.4 Registration number of company, enterprise, close corporation, partnership agreement or trust:
.....

2.5 Tax Reference Number:

2.6 VAT Registration Number:

- 2.6.1 The names of all directors / trustees / shareholders / members, their individual identity numbers, tax reference numbers and, if applicable, employee / PERSAL numbers must be indicated in paragraph 3 below.

¹"State" means –

- (a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
- (b) any municipality or municipal entity;
- (c) provincial legislature;
- (d) national Assembly or the national Council of provinces; or
- (e) Parliament.

²"Shareholder" means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.

2.7 Are you or any person connected with the bidder presently employed by the state? **YES / NO**

2.7.1 If so, furnish the following particulars:

Name of person / director / trustee / shareholder/ member:
Name of state institution at which you or the person connected to the bidder is employed :
Position occupied in the state institution:

Any other particulars:
.....
.....
.....

2.7.2 If you are presently employed by the state, did you obtain the appropriate authority to undertake remunerative work outside employment in the public sector? **YES / NO**

2.7.2.1 If yes, did you attach proof of such authority to the bid document? **YES / NO**

(Note: Failure to submit proof of such authority, where applicable, may result in the disqualification of the bid.

2.7.2.2 If no, furnish reasons for non-submission of such proof:

.....
.....
.....

2.8 Did you or your spouse, or any of the company's directors / trustees / shareholders / members or their spouses conduct business with the state in the previous twelve months? **YES / NO**

2.8.1 If so, furnish particulars:
.....
.....
.....

2.9 Do you, or any person connected with the bidder, have any relationship (family, friend, other) with a person employed by the state and who may be involved with the evaluation and or adjudication of this bid? **YES / NO**

2.9.1 If so, furnish particulars.
.....

4 DECLARATION

I, THE UNDERSIGNED (NAME).....

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2 and 3 ABOVE IS CORRECT.
I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME SHOULD THIS
DECLARATION PROVE TO BE FALSE.

.....
Signature

.....
Date

.....
Position

.....
Name of bidder

November 2011

**PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL
PROCUREMENT REGULATIONS 2011**

This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution

NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B-BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2011.

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R1 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R1 000 000 (all applicable taxes included).

1.2 The value of this bid is estimated to exceed R1 000 000 (all applicable taxes included) and therefore the.....90/10..... preference point system shall be applicable.

1.3 Preference points for this bid shall be awarded for:

- (a) Price; and
- (b) B-BBEE Status Level of Contribution.

1.4 The maximum points for this bid are allocated as follows:

	POINTS
PRICE	90
B-BBEE STATUS LEVEL OF CONTRIBUTION	10
Total points for Price and B-BBEE must not exceed	100

1.5 Failure on the part of a bidder to submit a B-BBEE Verification Certificate from a Verification Agency accredited by the South African Accreditation System (SANAS), or a Registered Auditor approved by the Independent Regulatory Board of Auditors (IRBA) or a sworn affidavit confirming annual turnover and level of black ownership in case of an EME and QSE together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

2. DEFINITIONS

- (a) **“all applicable taxes”** includes value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;
- (b) **“B-BBEE”** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- (c) **“B-BBEE status level of contributor”** means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard

- contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- (d) **“bid”** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of services, works or goods, through price quotations, advertised competitive bidding processes or proposals;
- (e) **“Broad-Based Black Economic Empowerment Act”** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (f) **“comparative price”** means the price after the factors of a non-firm price and all unconditional discounts that can be utilized have been taken into consideration;
- (g) **“consortium or joint venture”** means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract;
- (h) **“contract”** means the agreement that results from the acceptance of a bid by an organ of state;
- (i) **“EME”** means an Exempted Micro Enterprise as defines by Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (j) **“Firm price”** means the price that is only subject to adjustments in accordance with the actual increase or decrease resulting from the change, imposition, or abolition of customs or excise duty and any other duty, levy, or tax, which, in terms of the law or regulation, is binding on the contractor and demonstrably has an influence on the price of any supplies, or the rendering costs of any service, for the execution of the contract;
- (k) **“functionality”** means the measurement according to predetermined norms, as set out in the bid documents, of a service or commodity that is designed to be practical and useful, working or operating, taking into account, among other factors, the quality, reliability, viability and durability of a service and the technical capacity and ability of a bidder;
- (l) **“non-firm prices”** means all prices other than “firm” prices;
- (m) **“person”** includes a juristic person;
- (n) **“QSE”** means a Qualifying Small EEnterprise as defines by Codes of Good Practice under section 9 (1) of the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (o) **“rand value”** means the total estimated value of a contract in South African currency, calculated at the time of bid invitations, and includes all applicable taxes and excise duties;
- (p) **“sub-contract”** means the primary contractor’s assigning, leasing, making out work to, or employing, another person to support such primary contractor in the execution of part of a project in terms of the contract;
- (q) **“total revenue”** bears the same meaning assigned to this expression in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act and promulgated in the *Government Gazette* on 9 February 2007;
- (r) **“trust”** means the arrangement through which the property of one person is made over or bequeathed to a trustee to administer such property for the benefit of another person; and
- (s) **“trustee”** means any person, including the founder of a trust, to whom property is bequeathed in order for such property to be administered for the benefit of another person.

3. ADJUDICATION USING A POINT SYSTEM

- 3.1 The bidder obtaining the highest number of total points will be awarded the contract.
- 3.2 Preference points shall be calculated after prices have been brought to a comparative basis taking into account all factors of non-firm prices and all unconditional discounts.
- 3.3 Points scored must be rounded off to the nearest 2 decimal places.
- 3.4 In the event that two or more bids have scored equal total points, the successful bid must be the one scoring the highest number of preference points for B-BBEE.
- 3.5 However, when functionality is part of the evaluation process and two or more bids have scored equal points including equal preference points for B-BBEE, the successful bid must be the one scoring the highest score for functionality.
- 3.6 Should two or more bids be equal in all respects, the award shall be decided by the drawing of lots.

4. POINTS AWARDED FOR PRICE

4.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

$$P_s = 80 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right) \quad \text{or} \quad P_s = 90 \left(1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

- P_s = Points scored for comparative price of bid under consideration
- P_t = Comparative price of bid under consideration
- P_{\min} = Comparative price of lowest acceptable bid

5. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTION

- 5.1 In terms of Regulation 5 (2) and 6 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of Contributor	Number of points (90/10 system)	Number of points (80/20 system)
1	10	20
2	9	18
3	8	16
4	5	12
5	4	8
6	3	6
7	2	4
8	1	2
Non-compliant contributor	0	0

- 5.2 A bidder who qualifies as a EME in terms of the B-BBEE Act must submit a sworn affidavit confirming Annual Total Revenue and Level of Black Ownership.
- 5.3 A Bidder other than EME or QSE must submit their original and valid B-BBEE status level verification certificate or a certified copy thereof, substantiating their B-BBEE rating

issued by a Registered Auditor approved by IRBA or a Verification Agency accredited by SANAS.

- 5.4 A trust, consortium or joint venture, will qualify for points for their B-BBEE status level as a legal entity, provided that the entity submits their B-BBEE status level certificate.
- 5.5 A trust, consortium or joint venture will qualify for points for their B-BBEE status level as an unincorporated entity, provided that the entity submits their consolidated B-BBEE scorecard as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate bid.
- 5.6 Tertiary Institutions and Public Entities will be required to submit their B-BBEE status level certificates in terms of the specialized scorecard contained in the B-BBEE Codes of Good Practice.
- 5.7 A person will not be awarded points for B-BBEE status level if it is indicated in the bid documents that such a bidder intends sub-contracting more than 25% of the value of the contract to any other enterprise that does not qualify for at least the points that such a bidder qualifies for, unless the intended sub-contractor is an EME that has the capability and ability to execute the sub-contract.
- 5.8 A person awarded a contract may not sub-contract more than 25% of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract is sub-contracted to an EME that has the capability and ability to execute the sub-contract.

6. BID DECLARATION

- 6.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

7. B-BBEE STATUS LEVEL OF CONTRIBUTION CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 5.1

- 7.1 B-BBEE Status Level of Contribution: . =(maximum of 10 or 20 points)
 (Points claimed in respect of paragraph 7.1 must be in accordance with the table reflected in paragraph 5.1 and must be substantiated by means of a B-BBEE certificate issued by a Verification Agency accredited by SANAS or a Registered Auditor approved by IRBA or a sworn affidavit.

8. SUB-CONTRACTING

- 8.1 Will any portion of the contract be sub-contracted?

(Tick applicable box)

YES		NO	
-----	--	----	--

- 8.1.1 If yes, indicate:

- i) What percentage of the contract will be subcontracted..... %
- ii) The name of the sub-contractor.....
- iii) The B-BBEE status level of the sub-contractor.....
- iv) Whether the sub-contractor is an EME.

(Tick applicable box)

YES		NO	
-----	--	----	--

9. DECLARATION WITH REGARD TO COMPANY/FIRM

- 9.1 Name of company/firm:.....
- 9.2 VAT registration number:.....
- 9.3 Company registration number:.....

9.4 TYPE OF COMPANY/ FIRM

- Partnership/Joint Venture / Consortium
- One person business/sole propriety
- Close corporation
- Company
- (Pty) Limited

[TICK APPLICABLE BOX]

9.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

.....

.....

.....

.....

9.6 COMPANY CLASSIFICATION

- Manufacturer
- Supplier
- Professional service provider
- Other service providers, e.g. transporter, etc.

[TICK APPLICABLE BOX]

9.7 Total number of years the company/firm has been in business:.....

9.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contribution indicated in paragraph 7 of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraph 7, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
- iv) If the B-BBEE status level of contribution has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –
 - (a) disqualify the person from the bidding process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - (d) restrict the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
 - (e) forward the matter for criminal prosecution.

WITNESSES

1.

2.

.....
SIGNATURE(S) OF BIDDERS(S)

DATE:

ADDRESS

.....

.....

DECLARATION OF BIDDER'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

- 1 This Standard Bidding Document must form part of all bids invited.
- 2 It serves as a declaration to be used by institutions in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.
- 3 The bid of any bidder may be disregarded if that bidder, or any of its directors have-
 - a. abused the institution's supply chain management system;
 - b. committed fraud or any other improper conduct in relation to such system; or
 - c. failed to perform on any previous contract.
- 4 **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

Item	Question	Yes	No
4.1	<p>Is the bidder or any of its directors listed on the National Treasury's Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector?</p> <p>(Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the <i>audi alteram partem</i> rule was applied).</p> <p>The Database of Restricted Suppliers now resides on the National Treasury's website(www.treasury.gov.za) and can be accessed by clicking on its link at the bottom of the home page.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.1.1	If so, furnish particulars:		
4.2	<p>Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)?</p> <p>The Register for Tender Defaulters can be accessed on the National Treasury's website (www.treasury.gov.za) by clicking on its link at the bottom of the home page.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.2.1	If so, furnish particulars:		
4.3	<p>Was the bidder or any of its directors convicted by a court of law (including a court outside of the Republic of South Africa) for fraud or corruption during the past five years?</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.3.1	If so, furnish particulars:		

4.4	Was any contract between the bidder and any organ of state terminated during the past five years on account of failure to perform on or comply with the contract?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.4.1	If so, furnish particulars:		

SBD 8

CERTIFICATION

**I, THE UNDERSIGNED (FULL NAME).....
CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION
FORM IS TRUE AND CORRECT.**

**I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT,
ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION
PROVE TO BE FALSE.**

.....
Signature

.....
Date

.....
Position

.....
Name of Bidder

Js365bW

CERTIFICATE OF INDEPENDENT BID DETERMINATION

- 1 This Standard Bidding Document (SBD) must form part of all bids¹ invited.
- 2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).² Collusive bidding is a *pe se* prohibition meaning that it cannot be justified under any grounds.
- 3 Treasury Regulation 16A9 prescribes that accounting officers and accounting authorities must take all reasonable steps to prevent abuse of the supply chain management system and authorizes accounting officers and accounting authorities to:
 - a. disregard the bid of any bidder if that bidder, or any of its directors have abused the institution's supply chain management system and or committed fraud or any other improper conduct in relation to such system.
 - b. cancel a contract awarded to a supplier of goods and services if the supplier committed any corrupt or fraudulent act during the bidding process or the execution of that contract.
- 4 This SBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.
- 5 In order to give effect to the above, the attached Certificate of Bid Determination (SBD 9) must be completed and submitted with the bid:

¹ Includes price quotations, advertised competitive bids, limited bids and proposals.

² Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.

CERTIFICATE OF INDEPENDENT BID DETERMINATION

I, the undersigned, in submitting the accompanying bid:

(Bid Number and Description)

in response to the invitation for the bid made by:

(Name of Institution)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of: _____ that:
(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word "competitor" shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:
 - (a) has been requested to submit a bid in response to this bid invitation;
 - (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
 - (c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder

6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium³ will not be construed as collusive bidding.
7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
 - (a) prices;
 - (b) geographical area where product or service will be rendered (market allocation)
 - (c) methods, factors or formulas used to calculate prices;
 - (d) the intention or decision to submit or not to submit, a bid;
 - (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
 - (f) bidding with the intention not to win the bid.
8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.
9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

³ Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

.....
Signature

.....
Date

.....
Position

.....
Name of Bidder

Js914w 2

DEPARTMENT OF NATIONAL TREASURY



PLEASE COMPLETE QUESTIONNAIRE A OR B

**Contractors'/Suppliers' Questionnaire – Individuals:
Questionnaire A**

Please answer the questions by marking the appropriate column with an "X".
Please do not leave out any question relating to your specific circumstances.

Contractor/Supplier Name:	
Natural Persons:	
Surname:	
Initials:	
First two names:	
Title:	
ID number or passport number:	
Nationality:	
Income Tax reference number:	
Date of birth:	
If not a citizen of the RSA, furnish a certified copy of a work permit:	
Postal address and code:	
Residential address and code:	
Telephone numbers:	
Facsimile numbers:	
E-mail address:	
If in possession of a tax clearance certificate or exemption certificate (IRP30), furnish a certified copy thereof:	
Jurisdiction in which contractor is "ordinarily resident" i.e. place of permanent residence:	

DEPARTMENT OF NATIONAL TREASURY

Question		Yes	No
1.	Do you supply services on behalf of a Labour Broker?		
2.	Are you subject to the control or supervision of the National Treasury (NT)? Including, but not limited to, the following: <ul style="list-style-type: none"> • The manner of duties performed; • The hours of work; • The quality of work. 		
3.	Are you paid at regular intervals i.e. daily, weekly, monthly etc? (If the payments are made at regular intervals or by a rate per time period)		
4.	Will payment to you include any benefits? Including, but not limited to, the following: <ul style="list-style-type: none"> • Leave pay; • Medical aid; • Training; • Sick Leave. 		
5.	Will, or have you be/been in the full time employment of the NT?		
6.	Will you require of the NT to provide any equipment, tools, materials or office space, in order to fulfil the contract?		
7.	Do you supply these, or similar, services only to the NT and not to any other client or the general public?		
8.	Will you be required to work more than 22 hours per week?		
8.1	If "yes", will payment be made on an hourly, daily weekly or monthly basis?		
8.2.1	Will you work solely for the NT?		
8.2.2	Will you provide a written statement to this effect?		
Non-Residents of the RSA			
9.	Will you return to your jurisdiction of residence upon the termination of the contract?		
10.	Is the contract to exceed a period of three years?		
11.	Will you be returning to the jurisdiction of residence during the course of the contract? If so, for what periods of time?		
12.	Is your employer resident in the Republic of South		

DEPARTMENT OF NATIONAL TREASURY

Question		Yes	No
	Africa or does a permanent establishment or branch represent the employer in the Republic?		
13.	If a permanent establishment or branch represents the employer in the Republic, will your salary be paid from such permanent establishment or branch?		
14.	Will you be required to perform any work outside of the Republic?		
15.	Do you agree to submit copies of your passport should the NT, so require?		

PARTICULARS OF PERSON ACTING AS REPRESENTATIVE OF THE ENTERPRISE

I, the undersigned, confirm that the information provided above is accurate, and that while in receipt of payment from NT, will inform NT of any changes that take place pertaining the information provided above.

Representative's Full Names:	Capacity:	Contact number:
Signature:		Date:

DEPARTMENT OF NATIONAL TREASURY



PLEASE COMPLETE QUESTIONNAIRE A OR B

Contractors'/Suppliers' Questionnaire – All Service Providers (excluding Individuals): Questionnaire B:

Please answer the questions by marking the appropriate column with an "X". Please do not leave out any question relating to your specific circumstances.

Contractor/Supplier Name:	
Corporate Contractors (including companies, close corporations and trusts):	
Registered name and furnish a certified copy of registration:	
Nature of legal entity:	
Trade name:	
Registration number:	
Date of incorporation:	
Jurisdiction of incorporation:	
Jurisdiction where effective management is performed:	
Income tax reference number:	
Employees' Tax reference number:	
Value Added Tax number and furnish a certified copy of VAT 103 Certificate:	
Postal address and code:	
Physical address and code:	
Telephone numbers:	
Facsimile numbers:	
E-mail address:	

DEPARTMENT OF NATIONAL TREASURY

Question	Yes	No
1. Are you a "Labour Broker" i.e. do you provide payment for supplying the National Treasury (NT) with a person/s? If so, furnish a certified copy of an IRP30, which is valid for the period of the contract.		
2. Is the service to be rendered personally by any person, who is a connected person, in relation to the entity? (For example a shareholder, member or their direct family)		
3. Do you employ four or more employees on a full time basis throughout the year, excluding connected parties? If so, are these employees engaged in rendering the service to the NT? (For example secretarial employees would NOT be so engaged)		
4. Would you be regarded as an employee of the NT if the service was rendered by the person directly to the NT, other than on behalf of the contractor?		
5. Do you, the Company, Close Corporation or Trust receive any form of training supplied or paid for by NT? If "yes", please specify the nature and extent of the training:		
6. Are you, the Company Close Corporation or Trust free to choose which tools or equipment, or staff, or raw materials, or routines, patents and technology to use in performing your main duties?		
7. In order to perform your main duties, do you, or does such a person, Company, Close Corporation or Trust, use any tools or equipment supplied or paid for by NT? If "yes", please state the nature thereof:		
8. Are you subject to the control or supervision of the NT, as to the manner in which, or hours during which, the duties are performed or are to be performed in rendering the service?		
9. Will the amounts paid or payable in respect of the service consist of, or include, earnings of any description, which are payable at regular daily, weekly, monthly, or other intervals?		
10. Will more than 80% of your income, during the year		

DEPARTMENT OF NATIONAL TREASURY

Question		Yes	No
	of assessment, from services rendered, consist of or be likely to consist of amounts received directly or indirectly from any one client , or any associated institution, in relation to the client?		
11.	Does your contract contain any elements of an employment contract? [i.e. Job titles, reporting structure in organisation, fixed working hours, employment benefits, performance bonuses (excluding bonus and penalties for early or late delivery)]		
12.	Does your contract contain any clause that will enable you to receive payment, even if no work was done?		
13.	Have you ever been classified as a Labour Broker or personal services company (including Close Corporation and Trust) by SARS or any other client?		
14.	If the answer to question 13 was "yes", did anything change that no longer classifies you as a labour broker or personal services company? If "yes", elaborate:		

PARTICULARS OF PERSON ACTING AS REPRESENTATIVE OF THE ENTERPRISE

I, the undersigned, confirm that the information provided above is accurate, and that while in receipt of payment from NT, will inform NT of any changes that take place pertaining the information provided above.

Representative's Full Names:	Capacity:	Contact number:
Signature:		Date:

GOVERNMENT PROCUREMENT
GENERAL CONDITIONS OF CONTRACT

NOTES

The purpose of this document is to:

- (i) Draw special attention to certain general conditions applicable to government bids, contracts and orders; and
- (ii) To ensure that clients be familiar with regard to the rights and obligations of all parties involved in doing business with government.

In this document words in the singular also mean in the plural and vice versa and words in the masculine also mean in the feminine and neuter.

- The General Conditions of Contract will form part of all bid documents and may not be amended.
- Special Conditions of Contract (SCC) relevant to a specific bid, should be compiled separately for every bid (if applicable) and will supplement the General Conditions of Contract. Whenever there is a conflict, the provisions in the SCC shall prevail.

TABLE OF CLAUSES

1. Definitions
2. Application
3. General
4. Standards
5. Use of contract documents and information; inspection
6. Patent rights
7. Performance security
8. Inspections, tests and analysis
9. Packing
10. Delivery and documents
11. Insurance
12. Transportation
13. Incidental services
14. Spare parts
15. Warranty
16. Payment
17. Prices
18. Contract amendments
19. Assignment
20. Subcontracts
21. Delays in the supplier's performance
22. Penalties
23. Termination for default
24. Dumping and countervailing duties
25. Force Majeure
26. Termination for insolvency
27. Settlement of disputes
28. Limitation of liability
29. Governing language
30. Applicable law
31. Notices
32. Taxes and duties

General Conditions of Contract

1. Definitions

1. The following terms shall be interpreted as indicated:
 - 1.1 "Closing time" means the date and hour specified in the bidding documents for the receipt of bids.
 - 1.2 "Contract" means the written agreement entered into between the purchaser and the supplier, as recorded in the contract form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.
 - 1.3 "Contract price" means the price payable to the supplier under the contract for the full and proper performance of his contractual obligations.
 - 1.4 "Corrupt practice" means the offering, giving, receiving, or soliciting of any thing of value to influence the action of a public official in the procurement process or in contract execution.
 - 1.5 "Countervailing duties" are imposed in cases where an enterprise abroad is subsidized by its government and encouraged to market its products internationally.
 - 1.6 "Country of origin" means the place where the goods were mined, grown or produced or from which the services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembly of components, a commercially recognized new product results that is substantially different in basic characteristics or in purpose or utility from its components.
 - 1.7 "Day" means calendar day.
 - 1.8 "Delivery" means delivery in compliance of the conditions of the contract or order.
 - 1.9 "Delivery ex stock" means immediate delivery directly from stock actually on hand.
 - 1.10 "Delivery into consignees store or to his site" means delivered and unloaded in the specified store or depot or on the specified site in compliance with the conditions of the contract or order, the supplier bearing all risks and charges involved until the supplies are so delivered and a valid receipt is obtained.
 - 1.11 "Dumping" occurs when a private enterprise abroad market its goods on own initiative in the RSA at lower prices than that of the country of origin and which have the potential to harm the local industries in the RSA.
 - 1.12 "Force majeure" means an event beyond the control of the supplier and not involving the supplier's fault or negligence and not foreseeable.

Such events may include, but is not restricted to, acts of the purchaser in its sovereign capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions and freight embargoes.

- 1.13 "Fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of any bidder, and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the bidder of the benefits of free and open competition.
- 1.14 "GCC" means the General Conditions of Contract.
- 1.15 "Goods" means all of the equipment, machinery, and/or other materials that the supplier is required to supply to the purchaser under the contract.
- 1.16 "Imported content" means that portion of the bidding price represented by the cost of components, parts or materials which have been or are still to be imported (whether by the supplier or his subcontractors) and which costs are inclusive of the costs abroad, plus freight and other direct importation costs such as landing costs, dock dues, import duty, sales duty or other similar tax or duty at the South African place of entry as well as transportation and handling charges to the factory in the Republic where the supplies covered by the bid will be manufactured.
- 1.17 "Local content" means that portion of the bidding price which is not included in the imported content provided that local manufacture does take place.
- 1.18 "Manufacture" means the production of products in a factory using labour, materials, components and machinery and includes other related value-adding activities.
- 1.19 "Order" means an official written order issued for the supply of goods or works or the rendering of a service.
- 1.20 "Project site," where applicable, means the place indicated in bidding documents.
- 1.21 "Purchaser" means the organization purchasing the goods.
- 1.22 "Republic" means the Republic of South Africa.
- 1.23 "SCC" means the Special Conditions of Contract.
- 1.24 "Services" means those functional services ancillary to the supply of the goods, such as transportation and any other incidental services, such as installation, commissioning, provision of technical assistance, training, catering, gardening, security, maintenance and other such obligations of the supplier covered under the contract.
- 1.25 "Written" or "in writing" means handwritten in ink or any form of electronic or mechanical writing.

- 2. Application**
- 2.1 These general conditions are applicable to all bids, contracts and orders including bids for functional and professional services, sales, hiring, letting and the granting or acquiring of rights, but excluding immovable property, unless otherwise indicated in the bidding documents.
- 2.2 Where applicable, special conditions of contract are also laid down to cover specific supplies, services or works.
- 2.3 Where such special conditions of contract are in conflict with these general conditions, the special conditions shall apply.
- 3. General**
- 3.1 Unless otherwise indicated in the bidding documents, the purchaser shall not be liable for any expense incurred in the preparation and submission of a bid. Where applicable a non-refundable fee for documents may be charged.
- 3.2 With certain exceptions, invitations to bid are only published in the Government Tender Bulletin. The Government Tender Bulletin may be obtained directly from the Government Printer, Private Bag X85, Pretoria 0001, or accessed electronically from www.treasury.gov.za
- 4. Standards**
- 4.1 The goods supplied shall conform to the standards mentioned in the bidding documents and specifications.
- 5. Use of contract documents and information; inspection.**
- 5.1 The supplier shall not, without the purchaser's prior written consent, disclose the contract, or any provision thereof, or any specification, plan, drawing, pattern, sample, or information furnished by or on behalf of the purchaser in connection therewith, to any person other than a person employed by the supplier in the performance of the contract. Disclosure to any such employed person shall be made in confidence and shall extend only so far as may be necessary for purposes of such performance.
- 5.2 The supplier shall not, without the purchaser's prior written consent, make use of any document or information mentioned in GCC clause 5.1 except for purposes of performing the contract.
- 5.3 Any document, other than the contract itself mentioned in GCC clause 5.1 shall remain the property of the purchaser and shall be returned (all copies) to the purchaser on completion of the supplier's performance under the contract if so required by the purchaser.
- 5.4 The supplier shall permit the purchaser to inspect the supplier's records relating to the performance of the supplier and to have them audited by auditors appointed by the purchaser, if so required by the purchaser.
- 6. Patent rights**
- 6.1 The supplier shall indemnify the purchaser against all third-party claims of infringement of patent, trademark, or industrial design rights arising from use of the goods or any part thereof by the purchaser.
- 7. Performance security**
- 7.1 Within thirty (30) days of receipt of the notification of contract award, the successful bidder shall furnish to the purchaser the performance security of the amount specified in SCC.

- 7.2 The proceeds of the performance security shall be payable to the purchaser as compensation for any loss resulting from the supplier's failure to complete his obligations under the contract.
- 7.3 The performance security shall be denominated in the currency of the contract, or in a freely convertible currency acceptable to the purchaser and shall be in one of the following forms:
- (a) a bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the purchaser's country or abroad, acceptable to the purchaser, in the form provided in the bidding documents or another form acceptable to the purchaser; or
 - (b) a cashier's or certified cheque
- 7.4 The performance security will be discharged by the purchaser and returned to the supplier not later than thirty (30) days following the date of completion of the supplier's performance obligations under the contract, including any warranty obligations, unless otherwise specified in SCC.

8. Inspections, tests and analyses

- 8.1 All pre-bidding testing will be for the account of the bidder.
- 8.2 If it is a bid condition that supplies to be produced or services to be rendered should at any stage during production or execution or on completion be subject to inspection, the premises of the bidder or contractor shall be open, at all reasonable hours, for inspection by a representative of the Department or an organization acting on behalf of the Department.
- 8.3 If there are no inspection requirements indicated in the bidding documents and no mention is made in the contract, but during the contract period it is decided that inspections shall be carried out, the purchaser shall itself make the necessary arrangements, including payment arrangements with the testing authority concerned.
- 8.4 If the inspections, tests and analyses referred to in clauses 8.2 and 8.3 show the supplies to be in accordance with the contract requirements, the cost of the inspections, tests and analyses shall be defrayed by the purchaser.
- 8.5 Where the supplies or services referred to in clauses 8.2 and 8.3 do not comply with the contract requirements, irrespective of whether such supplies or services are accepted or not, the cost in connection with these inspections, tests or analyses shall be defrayed by the supplier.
- 8.6 Supplies and services which are referred to in clauses 8.2 and 8.3 and which do not comply with the contract requirements may be rejected.
- 8.7 Any contract supplies may on or after delivery be inspected, tested or analyzed and may be rejected if found not to comply with the requirements of the contract. Such rejected supplies shall be held at the cost and risk of the supplier who shall, when called upon, remove them immediately at his own cost and forthwith substitute them with

supplies which do comply with the requirements of the contract. Failing such removal the rejected supplies shall be returned at the suppliers cost and risk. Should the supplier fail to provide the substitute supplies forthwith, the purchaser may, without giving the supplier further opportunity to substitute the rejected supplies, purchase such supplies as may be necessary at the expense of the supplier.

8.8 The provisions of clauses 8.4 to 8.7 shall not prejudice the right of the purchaser to cancel the contract on account of a breach of the conditions thereof, or to act in terms of Clause 23 of GCC.

9. Packing

9.1 The supplier shall provide such packing of the goods as is required to prevent their damage or deterioration during transit to their final destination, as indicated in the contract. The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit, and open storage. Packing, case size and weights shall take into consideration, where appropriate, the remoteness of the goods' final destination and the absence of heavy handling facilities at all points in transit.

9.2 The packing, marking, and documentation within and outside the packages shall comply strictly with such special requirements as shall be expressly provided for in the contract, including additional requirements, if any, specified in SCC, and in any subsequent instructions ordered by the purchaser.

10. Delivery and documents

10.1 Delivery of the goods shall be made by the supplier in accordance with the terms specified in the contract. The details of shipping and/or other documents to be furnished by the supplier are specified in SCC.

10.2 Documents to be submitted by the supplier are specified in SCC.

11. Insurance

11.1 The goods supplied under the contract shall be fully insured in a freely convertible currency against loss or damage incidental to manufacture or acquisition, transportation, storage and delivery in the manner specified in the SCC.

12. Transportation

12.1 Should a price other than an all-inclusive delivered price be required, this shall be specified in the SCC.

13. Incidental services

13.1 The supplier may be required to provide any or all of the following services, including additional services, if any, specified in SCC:

- (a) performance or supervision of on-site assembly and/or commissioning of the supplied goods;
- (b) furnishing of tools required for assembly and/or maintenance of the supplied goods;
- (c) furnishing of a detailed operations and maintenance manual for each appropriate unit of the supplied goods;
- (d) performance or supervision or maintenance and/or repair of the supplied goods, for a period of time agreed by the parties, provided that this service shall not relieve the supplier of any warranty obligations under this contract; and

- (e) training of the purchaser's personnel, at the supplier's plant and/or on-site, in assembly, start-up, operation, maintenance, and/or repair of the supplied goods.

13.2 Prices charged by the supplier for incidental services, if not included in the contract price for the goods, shall be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the supplier for similar services.

14. Spare parts

14.1 As specified in SCC, the supplier may be required to provide any or all of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the supplier:

- (a) such spare parts as the purchaser may elect to purchase from the supplier, provided that this election shall not relieve the supplier of any warranty obligations under the contract; and
- (b) in the event of termination of production of the spare parts:
 - (i) Advance notification to the purchaser of the pending termination, in sufficient time to permit the purchaser to procure needed requirements; and
 - (ii) following such termination, furnishing at no cost to the purchaser, the blueprints, drawings, and specifications of the spare parts, if requested.

15. Warranty

15.1 The supplier warrants that the goods supplied under the contract are new, unused, of the most recent or current models, and that they incorporate all recent improvements in design and materials unless provided otherwise in the contract. The supplier further warrants that all goods supplied under this contract shall have no defect, arising from design, materials, or workmanship (except when the design and/or material is required by the purchaser's specifications) or from any act or omission of the supplier, that may develop under normal use of the supplied goods in the conditions prevailing in the country of final destination.

15.2 This warranty shall remain valid for twelve (12) months after the goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the contract, or for eighteen (18) months after the date of shipment from the port or place of loading in the source country, whichever period concludes earlier, unless specified otherwise in SCC.

15.3 The purchaser shall promptly notify the supplier in writing of any claims arising under this warranty.

15.4 Upon receipt of such notice, the supplier shall, within the period specified in SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, without costs to the purchaser.

15.5 If the supplier, having been notified, fails to remedy the defect(s) within the period specified in SCC, the purchaser may proceed to take such remedial action as may be necessary, at the supplier's risk and expense and without prejudice to any other rights which the purchaser may have against the supplier under the contract.

- 16. Payment**
- 16.1 The method and conditions of payment to be made to the supplier under this contract shall be specified in SCC.
- 16.2 The supplier shall furnish the purchaser with an invoice accompanied by a copy of the delivery note and upon fulfillment of other obligations stipulated in the contract.
- 16.3 Payments shall be made promptly by the purchaser, but in no case later than thirty (30) days after submission of an invoice or claim by the supplier.
- 16.4 Payment will be made in Rand unless otherwise stipulated in SCC.
- 17. Prices**
- 17.1 Prices charged by the supplier for goods delivered and services performed under the contract shall not vary from the prices quoted by the supplier in his bid, with the exception of any price adjustments authorized in SCC or in the purchaser's request for bid validity extension, as the case may be.
- 18. Contract amendments**
- 18.1 No variation in or modification of the terms of the contract shall be made except by written amendment signed by the parties concerned.
- 19. Assignment**
- 19.1 The supplier shall not assign, in whole or in part, its obligations to perform under the contract, except with the purchaser's prior written consent.
- 20. Subcontracts**
- 20.1 The supplier shall notify the purchaser in writing of all subcontracts awarded under this contracts if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the supplier from any liability or obligation under the contract.
- 21. Delays in the supplier's performance**
- 21.1 Delivery of the goods and performance of services shall be made by the supplier in accordance with the time schedule prescribed by the purchaser in the contract.
- 21.2 If at any time during performance of the contract, the supplier or its subcontractor(s) should encounter conditions impeding timely delivery of the goods and performance of services, the supplier shall promptly notify the purchaser in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the supplier's notice, the purchaser shall evaluate the situation and may at his discretion extend the supplier's time for performance, with or without the imposition of penalties, in which case the extension shall be ratified by the parties by amendment of contract.
- 21.3 No provision in a contract shall be deemed to prohibit the obtaining of supplies or services from a national department, provincial department, or a local authority.
- 21.4 The right is reserved to procure outside of the contract small quantities or to have minor essential services executed if an emergency arises, the supplier's point of supply is not situated at or near the place where the supplies are required, or the supplier's services are not readily available.

21.5 Except as provided under GCC Clause 25, a delay by the supplier in the performance of its delivery obligations shall render the supplier liable to the imposition of penalties, pursuant to GCC Clause 22, unless an extension of time is agreed upon pursuant to GCC Clause 21.2 without the application of penalties.

21.6 Upon any delay beyond the delivery period in the case of a supplies contract, the purchaser shall, without canceling the contract, be entitled to purchase supplies of a similar quality and up to the same quantity in substitution of the goods not supplied in conformity with the contract and to return any goods delivered later at the supplier's expense and risk, or to cancel the contract and buy such goods as may be required to complete the contract and without prejudice to his other rights, be entitled to claim damages from the supplier.

22. Penalties

22.1 Subject to GCC Clause 25, if the supplier fails to deliver any or all of the goods or to perform the services within the period(s) specified in the contract, the purchaser shall, without prejudice to its other remedies under the contract, deduct from the contract price, as a penalty, a sum calculated on the delivered price of the delayed goods or unperformed services using the current prime interest rate calculated for each day of the delay until actual delivery or performance. The purchaser may also consider termination of the contract pursuant to GCC Clause 23.

23. Termination for default

23.1 The purchaser, without prejudice to any other remedy for breach of contract, by written notice of default sent to the supplier, may terminate this contract in whole or in part:

- (a) if the supplier fails to deliver any or all of the goods within the period(s) specified in the contract, or within any extension thereof granted by the purchaser pursuant to GCC Clause 21.2;
- (b) if the Supplier fails to perform any other obligation(s) under the contract; or
- (c) if the supplier, in the judgment of the purchaser, has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

23.2 In the event the purchaser terminates the contract in whole or in part, the purchaser may procure, upon such terms and in such manner as it deems appropriate, goods, works or services similar to those undelivered, and the supplier shall be liable to the purchaser for any excess costs for such similar goods, works or services. However, the supplier shall continue performance of the contract to the extent not terminated.

24. Anti-dumping and countervailing duties and rights

24.1 When, after the date of bid, provisional payments are required, or anti-dumping or countervailing duties are imposed, or the amount of a provisional payment or anti-dumping or countervailing right is increased in respect of any dumped or subsidized import, the State is not liable for any amount so required or imposed, or for the amount of any such increase. When, after the said date, such a provisional payment is no longer required or any such anti-dumping or countervailing right is abolished, or where the amount of such provisional payment or any such right is reduced, any such favourable

difference shall on demand be paid forthwith by the contractor to the State or the State may deduct such amounts from moneys (if any) which may otherwise be due to the contractor in regard to supplies or services which he delivered or rendered, or is to deliver or render in terms of the contract or any other contract or any other amount which may be due to him

25. Force Majeure

- 25.1 Notwithstanding the provisions of GCC Clauses 22 and 23, the supplier shall not be liable for forfeiture of its performance security, damages, or termination for default if and to the extent that his delay in performance or other failure to perform his obligations under the contract is the result of an event of force majeure.
- 25.2 If a force majeure situation arises, the supplier shall promptly notify the purchaser in writing of such condition and the cause thereof. Unless otherwise directed by the purchaser in writing, the supplier shall continue to perform its obligations under the contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the force majeure event.

26. Termination for insolvency

- 26.1 The purchaser may at any time terminate the contract by giving written notice to the supplier if the supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the supplier, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the purchaser.

27. Settlement of Disputes

- 27.1 If any dispute or difference of any kind whatsoever arises between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.
- 27.2 If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the purchaser or the supplier may give notice to the other party of his intention to commence with mediation. No mediation in respect of this matter may be commenced unless such notice is given to the other party.
- 27.3 Should it not be possible to settle a dispute by means of mediation, it may be settled in a South African court of law.
- 27.4 Mediation proceedings shall be conducted in accordance with the rules of procedure specified in the SCC.
- 27.5 Notwithstanding any reference to mediation and/or court proceedings herein,
- (a) the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and
 - (b) the purchaser shall pay the supplier any monies due the supplier.

28. Limitation of liability

- 28.1 Except in cases of criminal negligence or willful misconduct, and in the case of infringement pursuant to Clause 6;
- (a) the supplier shall not be liable to the purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss

or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the supplier to pay penalties and/or damages to the purchaser; and

- (b) the aggregate liability of the supplier to the purchaser, whether under the contract, in tort or otherwise, shall not exceed the total contract price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment.

29. Governing language

29.1 The contract shall be written in English. All correspondence and other documents pertaining to the contract that is exchanged by the parties shall also be written in English.

30. Applicable law

30.1 The contract shall be interpreted in accordance with South African laws, unless otherwise specified in SCC.

31. Notices

31.1 Every written acceptance of a bid shall be posted to the supplier concerned by registered or certified mail and any other notice to him shall be posted by ordinary mail to the address furnished in his bid or to the address notified later by him in writing and such posting shall be deemed to be proper service of such notice

31.2 The time mentioned in the contract documents for performing any act after such aforesaid notice has been given, shall be reckoned from the date of posting of such notice.

32. Taxes and duties

32.1 A foreign supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the purchaser's country.

32.2 A local supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted goods to the purchaser.

32.3 No contract shall be concluded with any bidder whose tax matters are not in order. Prior to the award of a bid the Department must be in possession of a tax clearance certificate, submitted by the bidder. This certificate must be an original issued by the South African Revenue Services.