



**national treasury**

Department:  
National Treasury  
REPUBLIC OF SOUTH AFRICA

**Enquiries:** Thivhileli Matshinyatsimbi  
**Tel:** (012) 395 – 5285  
**Fax:** (012) 315 – 5900  
**E-mail:** Thivhileli.Matshinyatsimbi@treasury.gov.za

**NOTICE TO ALL BIDDERS**

**NT005-2017: APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

Please note that the closing date of the above tender is 05 May 2017

Yours faithfully

**DAISY NYAMANE**  
**DIRECTOR: SUPPLY CHAIN MANAGEMENT**  
**DATE:** 2017/04/19



## INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE NATIONAL TREASURY

BID NUMBER: **NT005-2017**

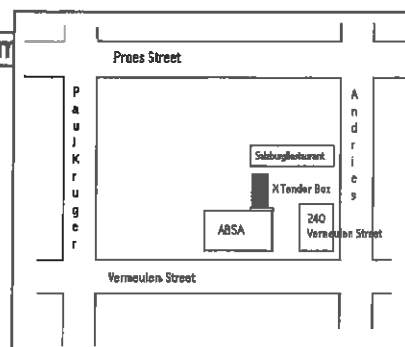
CLOSING DATE: **05 MAY 2017**

CLOSING TIME: **11:00**

**APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

**The successful bidder will be required to fill in and sign a written Contract Form**

**BID DOCUMENTS MAY BE POSTED TO:  
DIRECTOR: SUPPLY CHAIN MANAGEMENT  
PRIVATE BAG X115  
PRETORIA  
0001**



OR

DEPOSITED IN THE BID BOX SITUATED AT *(STREET ADDRESS)*  
**240 Madiba Street between  
ABSA and Urban Eatery Restaurant**

**Bidders should ensure that bids are delivered timeously to the correct address (Tender Box). If the bid is late, it will not be accepted for consideration.**

ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS – (NOT TO BE RE-TYPED)

THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2011, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT

THE FOLLOWING PARTICULARS MUST BE FURNISHED  
(FAILURE TO DO SO MAY RESULT IN YOUR BID BEING DISQUALIFIED)

NAME OF BIDDER	.....
POSTAL ADDRESS	.....
STREET ADDRESS	.....
TELEPHONE NUMBER	CODE.....NUMBER.....
CELLPHONE NUMBER	.....
FACSIMILE NUMBER	CODE .....NUMBER.....
E-MAIL ADDRESS	.....
VAT REGISTRATION NUMBER	.....

HAS AN ORIGINAL AND VALID TAX CLEARANCE CERTIFICATE BEEN SUBMITTED? (SBD 2) YES or NO

HAS A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE BEEN SUBMITTED? (SBD 6.1) YES or NO

IF YES, WHO WAS THE CERTIFICATE ISSUED BY?

AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA).....  
A VERIFICATION AGENCY ACCREDITED BY THE SOUTH AFRICAN ACCREDITATION SYSTEM (SANAS); OR.....  
A REGISTERED AUDITOR .....  
[TICK APPLICABLE BOX]

**(A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE)**

ARE YOU THE ACCREDITED REPRESENTATIVE  
IN SOUTH AFRICA FOR THE GOODS / SERVICES / WORKS OFFERED?  
NO

YES or

[IF YES ENCLOSE PROOF]

SIGNATURE OF BIDDER .....

DATE .....

CAPACITY UNDER WHICH THIS BID IS SIGNED .....

TOTAL BID PRICE..... TOTAL NUMBER OF ITEMS OFFERED .....

**ANY ENQUIRIES REGARDING THE BIDDING PROCEDURE MAY BE DIRECTED TO:**

**Department:** National Treasury

**Contact Person:** Thivhileli Matshinyatsimbi / Sithembile Skosana

**E-mail address:** [Thivhileli.Matshinyatsimbi@treasury.gov.za](mailto:Thivhileli.Matshinyatsimbi@treasury.gov.za) / [Sithembile.Skosana@treasury.gov.za](mailto:Sithembile.Skosana@treasury.gov.za)

**ANY ENQUIRIES REGARDING TECHNICAL INFORMATION MAY BE DIRECTED TO:**

**Technical enquiries:**

**Contact Person:** Thamsanqa mohale

**Email:** [thamsanqa.mohale@treasury.gov.za](mailto:thamsanqa.mohale@treasury.gov.za)

## TAX CLEARANCE CERTIFICATE REQUIREMENTS

**It is a condition of bid that the taxes of the successful bidder must be in order, or that satisfactory arrangements have been made with South African Revenue Service (SARS) to meet the bidder's tax obligations.**

- 1 In order to meet this requirement bidders are required to complete in full the attached form TCC 001 "Application for a Tax Clearance Certificate" and submit it to any SARS branch office nationally. The Tax Clearance Certificate Requirements are also applicable to foreign bidders / individuals who wish to submit bids.
- 2 SARS will then furnish the bidder with a Tax Clearance Certificate that will be valid for a period of 1 (one) year from the date of approval.
- 3 The original Tax Clearance Certificate must be submitted together with the bid. Failure to submit the original and valid Tax Clearance Certificate will result in the invalidation of the bid. Certified copies of the Tax Clearance Certificate will not be acceptable.
- 4 In bids where Consortia / Joint Ventures / Sub-contractors are involved, each party must submit a separate Tax Clearance Certificate.
- 5 Copies of the TCC 001 "Application for a Tax Clearance Certificate" form are available from any SARS branch office nationally or on the website [www.sars.gov.za](http://www.sars.gov.za).
- 6 Applications for the Tax Clearance Certificates may also be made via eFiling. In order to use this provision, taxpayers will need to register with SARS as eFilers through the website [www.sars.gov.za](http://www.sars.gov.za).



# Application for a Tax Clearance Certificate

### Purpose

Select the applicable option

Tenders

Good standing

If "Good standing", please state the purpose of this application

Empty text box for purpose of application

### Particulars of applicant

Name/Legal name (Initials & Surname or registered name)

Trading name (if applicable)

ID/Passport no      Company/Close Corp. registered no

Income Tax ref no      PAYE ref no 7

VAT registration no 4      SDL ref no L

Customs code      UIF ref no U

Telephone no      Fax no

E-mail address

Physical address

Postal address

### Particulars of representative (Public Officer/Trustee/Partner)

Surname

First names

ID/Passport no      Income Tax ref no

Telephone no      Fax no

E-mail address

Physical address

**Particulars of tender** (If applicable)

Tender number

Estimated Tender amount R

Expected duration of the tender  year(s)

**Particulars of the 3 largest contracts previously awarded**

Date started	Date finalised	Principal	Contact person	Telephone number	Amount

**Audit**

Are you currently aware of any Audit investigation against you/the company?  YES  NO  
If "YES" provide details

**Appointment of representative/agent (Power of Attorney)**

I the undersigned confirm that I require a Tax Clearance Certificate in respect of  Tenders or  Goodstanding.

I hereby authorise and instruct  to apply to and receive from SARS the applicable Tax Clearance Certificate on my/our behalf.

Signature of representative/agent

Date

Name of representative/agent

**Declaration**

I declare that the information furnished in this application as well as any supporting documents is true and correct in every respect.

Signature of applicant/Public Officer

Date

Name of applicant/  
Public Officer

**Notes:**

1. It is a serious offence to make a false declaration.
2. Section 75 of the Income Tax Act, 1962, states: Any person who
  - (a) falls or neglects to furnish, file or submit any return or document as and when required by or under this Act; or
  - (b) without just cause shown by him, refuses or neglects to-
    - (i) furnish, produce or make available any information, documents or things;
    - (ii) reply to or answer truly and fully, any questions put to him ...As and when required in terms of this Act ... shall be guilty of an offence ...
3. SARS will, under no circumstances, issue a Tax Clearance Certificate unless this form is completed in full.
4. Your Tax Clearance Certificate will only be issued on presentation of your South African Identity Document or Passport (Foreigners only) as applicable.







---

## **TERMS OF REFERENCE**

**Reference Number: NT**

**APPOINTMENT OF A RESOURCE<sup>1</sup> TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

**Closing Date: 04 May 2017**

---

<sup>1</sup> One (1) Long Term Technical Assistant (LTTA)



**BID NUMBER: NT005-2017**

**DESCRIPTION OF PROJECT: APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

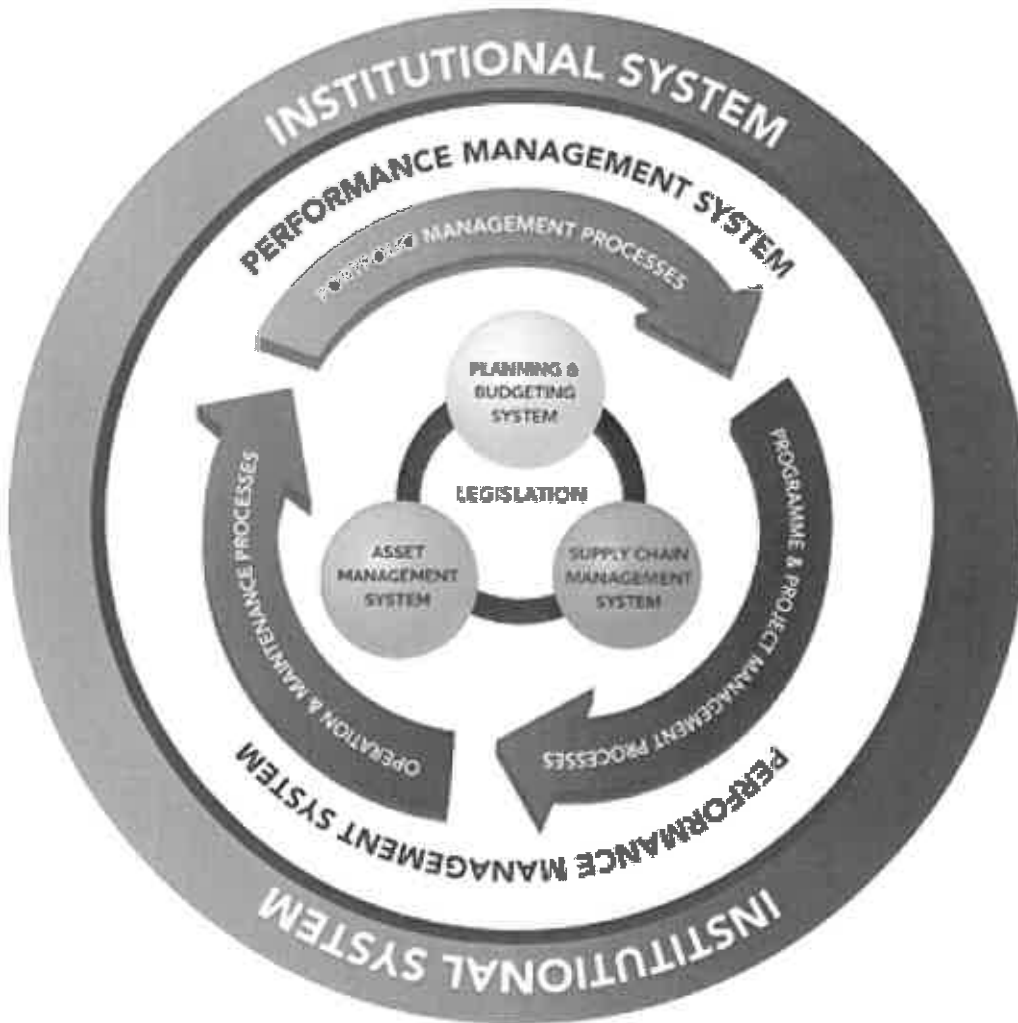
**PERIOD: 36 Months (from the date of appointment, fulltime) 5700 Hours**

## **1. INTRODUCTION AND CONTEXT**

- 1.1** The government undertook a study during 2002 to determine the issues and gaps in delivery of infrastructure. The study reported that there was a shortfall in effective and systematic delivery systems as well as a shortage of skills within the assessed departments. In 2004, the Infrastructure Delivery Improvement Programme (IDIP) was established as a partnership between National Treasury, the Construction Industry Development Board (CIDB), the Department of Public Works (DPW) and the Development Bank of Southern Africa (DBSA) to establish a capacity-building programme to deal with infrastructure failures across the identified provincial departments. It was within the maturity of implementing IDIP that the concept of the Infrastructure Delivery Management system (IDMS) emerged.
- 1.2** In 2011, the National Planning Commission published a detailed diagnostic report that set out the key challenges that confront South Africans in fighting poverty and inequality and in achieving Constitutional objectives. The implicit conclusion of the report was that a business-as-usual approach would not help South Africa in its quest to meet the country's strategic objectives. With the publication of the National Development Plan it became clear that an infrastructure delivery system was needed which must focus on "prioritizing", "planning", "allocating" and "measurement". The demands placed by the National Development Plan met the process map outlined in the IDMS as developed by the National Treasury as part of the exit strategy by IDIP.
- 1.3** The IDMS comprises three core systems, namely a planning and budgeting system, a supply chain management system and an asset management system, all of which have forward and backward linkages. These core systems are located within portfolio, programme and project management and within operation and maintenance processes. Collectively these processes and systems, together with a performance management system, establish the institutional system for infrastructure delivery. These systems are all aligned with legislative requirements, which apply to all spheres of government. Figures 1 and 2 below shows the IDMS in totality and the linkages of the IDMS processes as in figure 2.



**Figure 1: Graphical illustration of The Infrastructure Delivery Management System**

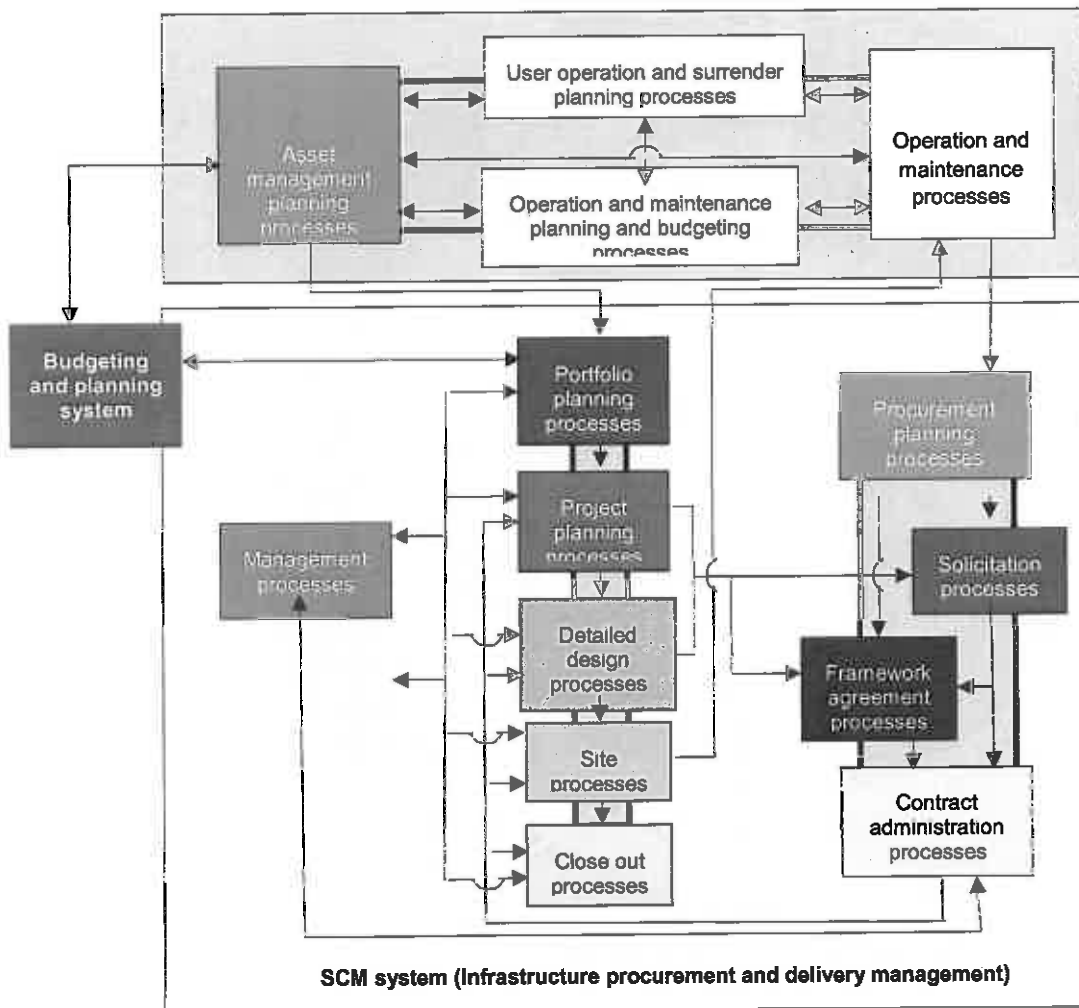


**Graphical illustration of The Infrastructure Delivery Management System**

The IDM Toolkit can be downloaded from the website  
<http://toolkit.cidb.org.za/SitePages/Home.aspx>



**Figure 2: IDMS Linkages**



**Figure 2: is the IDMS linkages between the budgeting and planning system which provide the necessary inputs into the infrastructure procurement and delivery management system, which in turn provides outputs for the asset management system. It should be noted that the budgeting and planning system and the asset management system are sector specific and require knowledge of the sector in their design and operation.**

- 1.4 National Treasury recently published the Standard for Infrastructure Procurement and Delivery Management (SIPDM), which standardizes the Supply Chain Management System for infrastructure projects. The standard establishes:
- i. Framework for the planning, design and implementation of infrastructure projects and infrastructure procurement;
  - ii. Requirements for the following matters as applied to the Supply Chain Management System for infrastructure procurement and delivery management, and



iii. Minimum requirements for infrastructure procurement.

- 1.5 The control framework for the planning design and execution of infrastructure projects enables risks to be proactively managed, is capable of being audited and is aimed at ensuring that any infrastructure acquired or to be acquired is:
- i. In line with the legal mandates and strategic priorities;
  - ii. Delivered in the right quantity and quality, and at the right place and time;
  - iii. Financially, Economically and Technically viable and offers value for money over its life cycle;
  - iv. Affordable in terms of existing budget and future budgetary projections after taking into account life cycle costs;
  - v. Acquired in accordance with any required statutory permissions;
  - vi. Optimal utilization of existing infrastructure as demand patterns change over time;
  - vii. Readily and economically maintained; and
  - viii. Aligned with the design and construction infrastructure already in place.

## 2. CURRENT STATE OF AFFAIRS

The Chief Directorate Provincial and Local Government Infrastructure is undertaking a number of initiatives (and projects) that are aimed at supporting the Unit to gather an understanding and intelligence on what is happening in the Local Government Infrastructure Delivery space and to improve the Financial Management and infrastructure management capabilities of Local Government. These initiatives include the following:

### 2.1 THE INFRASTRUCTURE SKILLS DEVELOPMENT GRANT (ISDG)

The aim of the grant is to support Municipalities to build long-term sustainable capacity through the training of graduates in technical skills within the Built Environment profession. The grant has been in place for the past three financial years and will continue for the next three financial years. A Resource has been appointed to guide and advise National Treasury and Municipalities on the implementation of the programme going forward. Currently sixteen (16) Municipalities are participating on the programme and a handful of new business plans are currently being assessed for possible approval and future inclusion of those Municipalities into the ISDG.

### 2.2 LOCAL GOVERNMENT INFRASTRUCTURE DELIVERY MANAGEMENT TOOLKIT (LGIDMT)

The project seeks to enhance Financial Management and infrastructure management capabilities through the development of a suitable Infrastructure Delivery Management Toolkit for Local Government. This project draws upon the Provincial IDM Toolkit and the recently completed Infrastructure Delivery Management System for metropolitan municipalities. The envisaged Toolkit for Local Government will also be aligned with the provisions of the recently published Standards for Infrastructure Procurement and Delivery Management.

### 2.3 LONG TERM INFRASTRUCTURE FUNDING FRAMEWORK (LTIF) AND PROJECT IDENTIFICATION



The aim of the project is two fold:

- i. Assist Municipalities to develop and maintain long term infrastructure funding framework that must be institutionalized in the municipalities, and
- ii. Assist municipalities with the identification of projects that meets the requirements of the frameworks.

This project is owned by the National Treasury and funded by the Infrastructure Investment Programme of Southern Africa (IIPSA). The disbursement rights on the funds reside within the DBSA.

#### **2.4 VALUE FOR MONEY ON PAST MUNICIPAL BORROWINGS**

The National Treasury is in the process of gaining insights into the municipal borrowing landscape over the past ten years. Details of these projects are still unfolding.

#### **2.5 COST BENEFIT ASSESSMENT**

The National Treasury is in the process of gaining insights into the implementation of pledging of Municipal Infrastructure conditional grants and to understand if the intentions of pledging are being realized.

#### **2.6 CITIES INFRASTRUCTURE DELIVERY MANAGEMENT SYSTEM**

The National Treasury recently launched the Cities Infrastructure Delivery and Management System to assist with infrastructure delivery in the Metropolitan Municipalities.

These projects will act as enablers to activate capacitation within the Unit with clear objectives and expected outcomes for the individuals as well as the unit aligned to the Infrastructure Delivery Management System (IDMS).

### **3. PURPOSE/OBJECTIVE**

- 3.1 The overall purpose of the terms of reference is to contribute to the achievement of Local Government's Goal of effective and efficient infrastructure delivery. This goal is to be achieved through the appointment of a Resource over a three (3) year period to strategically advise and support the Local Government Infrastructure Unit while strengthening the management of pre-determined projects and initiatives aimed at improving the performance of Municipal infrastructure.
- 3.2 The specific purpose of the terms of reference is to provide professional and technical guidance on the management and oversight function relating to the implementation of these initiatives, from this support flows:

### **4. SCOPE OF WORK**

- 4.1 Alignment of the strategic initiatives for Local Government Infrastructure listed in section 2.1 to 2.6 with the Infrastructure Delivery Management System and the Standard for Infrastructure Procurement and Delivery Management;



- 4.2 Support and contribute to the effective management and implementation of identified projects and initiatives as listed in section 2.1 to 2.6.
- 4.3 Monitor and oversee financial and non-financial management and infrastructure management capabilities of local government and the implementation of projects listed in section 2.1 to 2.6.
- 4.4 Knowledge transfer towards municipalities / PLGI staff.

## 5. Tasks

- 5.1 Produce an inception report with the proposed work programme or work plan within 21 working days after the issuance of the appointment letter. This must be produced in a format that articulates in some details; the approach and the methodology with indicative milestones and deliverables against each milestone.
- 5.2 Quality assures the identified projects / initiatives are optimally functionally to reduce time delays, unproductivity and to mitigate the effects of uncertainty on objectives.
- 5.3 Support and guide the drafting and implementation of the non-financial information of the Municipal Standard Chart of Accounts (mSCOA).
- 5.4 Review non-financial (project) information reporting to support local government infrastructure via site visits, which are undertaken as part of implementing MFMA Section 72.
- 5.5 Perform a high-level risk management exercise to minimize project risk.
- 5.6 Develop a detailed framework for quality assurance of the strategic developmental activities of the current projects.
- 5.7 Provide inputs on strategic developmental activities of the project reports generated by local government officials.
- 5.8 Work with LGI official(s) to create and maintain a comprehensive project documentation system.
- 5.9 Knowledge transfer to enable the identified individuals within LGI to advocate and report on value for money on municipal infrastructure projects

## 6. OUTPUTS

- 6.1 Inception report
- 6.2 Project Plan
- 6.3 Project documentation System
- 6.4 Quality assurance plan/report



- 6.5 Risk Management Report
- 6.6 Monthly, Quarterly and adhoc reports
- 6.7 Hand over Report
- 6.8 Close out report

***All soft copies to be submitted as PDFs and as the Word version of each of the relevant documents.***

## **7. OUTCOMES**

The expected outcomes for this assignment are as follows:

- i. Improved measurement of the performance of the municipal environment against strategic objectives aligned to the SIPDM by means of quantifiable key performance indicators (KPI's) that are commonly used to evaluate success in infrastructure projects.
- ii. An improvement in the unit's capacity to understand and monitor the progress being made towards objectives of the implementation of the Infrastructure Delivery Management System (IDMS) by Local Government using the KPI's as a tool to manage, control and achieve desired outcomes.
- iii. Improved governance processes, which incorporate oversight functions to assess aspects to improve decision-making and management of business processes.
- iv. Improved business processes that enable risk to be pro-actively managed and responsibilities to be clearly established in the unit with strategic developmental activities of current and future projects.
- v. Coordinated Resources to support the implementation of MFMA Section 72 of non-financial (project) information reporting to support local government infrastructure;
- vi. Local Government IDMS is integrated into the broader IDM Knowledge Management Framework.

## **8. QUALIFICATIONS, SKILLS AND EXPERIENCE**

**The Resource must demonstrate the following expertise/experience, which must be reflected on the curriculum vitae.**

- i. Ten (10) years of working in the infrastructure delivery environment in South Africa, five years of which must be directly working at local government environment.
- ii. Evidence based municipal infrastructure project management skills.
- iii. Evidence based experience in process management.
- iv. Experience with programme / project design and budgeting.
- v. Knowledge of infrastructure related policies and legislative requirements.





- vi. Knowledge and skills in municipal infrastructure monitoring and reporting.
- vii. Extensive (applied) knowledge of programme and project management;
- viii. Good problem solving and analytical skills;
- ix. Good business and report writing skills;
- x. Good communication and facilitation skills;
- xi. Ability to engage with different stakeholders at operational and strategic levels;
- xii. Ability to develop and make presentations and to facilitate stakeholder engagement and;
- xiii. Good interpersonal skills.

## 9. QUALIFICATIONS REQUIRED

The Resource must have a minimum three-year tertiary qualification/s in the built environment listed below, together with a registration/affiliation with the relevant professional body:

- i. Quantity surveying,
- ii. Civil engineering, and
- iii. Architecture

**In addition to the above, a certificate or qualification in Programme or Project Management will be an added advantage.**

## 10. SUBMISSION REQUIREMENTS

- 10.1 The Resource must submit a responsive proposal in terms of these terms of reference. A responsive proposal will be determined based on the proposal's ability to demonstrate the understanding of the terms of reference and the objectives in a detailed methodology and approach on how are they going to execute the tasks (section 4) and outputs (section 5)
- 10.2 Detailed curriculum vitae and certified copies of qualification/s of the proposed Resource.
- 10.3 The fee structure of the individual outlining hour rates excluding Value Added Tax (VAT).

## 11. FINANCIAL PROPOSAL/ COSTING STRUCTURE

The Financial proposal should clearly indicate the hourly rate of the proposed resource. Ten (10) percent of the contract value will be allocated for disbursements, which will be reimbursed on cost recovery basis. **(Please do not include/add disbursements amount in your quotation). No amount will be paid for relocation related expenses where the resource would require relocating.**

## 12. CV'S OF INDIVIDUALS

The CV of a proposed resource must not be longer than five (5) pages and attach certified copies of qualifications, certificates, professional registrations and letters of good standing



with the professional entities/bodies where applicable. A company can submit up to a maximum of five (5) CVs.

### **13. PROJECT PLAN**

The project plan must outline the project outputs and associated activities over the expected project duration.

### **14. ACCREDITED INSTITUTIONS**

All educational qualifications should be from a South African accredited institution/s otherwise if from foreign institution, they should be accredited by SAQA (South Africa Qualification Authorities).

### **15. REPORTING**

The appointed Resource will report and make presentations to the Chief Director: Provincial and Local Government Infrastructure or any other nominated person / institution as the Chief Director may see fit.

### **16. CONTRACTING ARRANGEMENTS AND CONTRACT MANAGEMENT**

National Treasury will contract the Resource.

The Resource's performance will be managed as per the agreed deliverables and in relation to the approved Workplan. In the event that the Resource's performance is below the acceptable level; such unsatisfactory performance will be discussed with the Resource and remedial action(s) will be agreed upon. Consistence unsatisfactory performance after remedial actions have been put in place will result in the termination of the contract. In the event of termination of the contract, the Resource will be expected to produce all the work done thus far and in the manner specified by the accounting officer.

### **17. LOGISTICS AND SCHEDULE OF ASSIGNMENT**

#### **17.1 Assumptions**

The success of the envisaged services to be provided depends on the following assumptions:

- a) The Client will be supportive to the Resource.
- b) The Resource will be able to deliver on these terms of reference.

#### **17.2 Risks**

The following are perceived risks:

- a) Poor product due to general lack of co-operation.
- b) Availability of LGI staff for knowledge sharing and transfer to take place

#### **17.3 Location where the services are required**



The centre of appointment shall be Pretoria, however it is anticipated that there is going to be extensive travelling to the municipalities as part of implementation of these terms of reference.

The Resource will be expected to be physically at the centre of appointment namely 40 Church Square, Pretoria for the duration of the execution of these terms of reference unless otherwise negotiated and agreed with the National Treasury Counter-Part.

**17.4 Resource and Responsibility**

The Resource will be responsible for providing his or her own equipment necessary for the implementation of these terms of reference. This being a full time contract, National Treasury will provide a workstation, stationery, access to printing and an email address. The National Treasury could facilitate parking facilities but the Resource must be prepared to pay the cost of the parking facility.

**18. EVALUATION METHODOLOGY (MATRIX)**

The evaluation will be a three stage process namely the minimum requirements, technical submission and financial offer and preference evaluation.

The tenders will be evaluated in three stages namely:

**Stage 1: Professional body affiliation and minimum education required**

**Stage 2: Functional evaluation (Qualification/s, Experience, Methodology and Interview)**

**Stage 3: Financial offer and preference evaluation**

***Stage 1: Professional Body affiliation and Minimum Education Required***

Resources who fail to meet any of the requirements below will be disqualified from any further evaluation relating to this Bid.

<b>Requirement</b>	<b>Yes</b>	<b>No</b>
i. Registration with relevant professional body		
ii. A three year tertiary qualification in Built Environment i.e. (Quantity surveying, Civil Engineering and Architecture)		

***Stage 2: Functional Evaluation***

A two gated evaluation process will be applied:

**Gate 1: Qualifications and experience**

**Gate 2: Interview**



The following evaluation criteria will be applied in evaluating the functionality of tenders.

No	Evaluation Criteria	Weight	Scoring Criteria
<b>1</b>	<b>Qualifications</b>		
<b>1.1</b>	Built Environment (Quantity surveying, Civil engineering and Architecture)	<b>10</b>	3 = Post degree qualification 2 = Degree or equivalent 1 = Diploma
<b>1.2</b>	Certificate or qualification in programme and/or project management	<b>5</b>	3 = more than a year qualification/ certificate 2 = year qualification/ certificate 1 = less than year qualification/ certificate
<b>2</b>	<b>Experience</b>		
<b>2.1</b>	Experience in infrastructure related policies and legislative requirements	<b>10</b>	3 = >10 years 2 = >7-10 years 1 = 4-7 years
<b>2.2</b>	Evidence based municipal infrastructure project management skills.	<b>10</b>	3= >5 years 2= >4-5 years 1= < 4 years
<b>2.3</b>	Knowledge and skills in municipal infrastructure monitoring and reporting.	<b>5</b>	3 = >10 years 2 = >4-10 years 1 = <4 years
<b>3</b>	<b>Methodology and Approach</b>	<b>35</b>	4 = Proposal addressed all areas of the requirements and proposed value-add items such as interesting/innovative approaches are suggested for undertaking the project. 3 = Proposal addressed all areas of the requirements. Confident that the project can be implemented. 2 = Proposal partially addressed some areas of the



			requirements, but overall not convincing – i.e. scope of work not fully addressed 1 = Proposal Not likely to address the needs of the assignment
	<b>Gate 1 Total</b> (minimum score required for gate 1 = 45)	<b>75</b>	
	<b>Gate 2: Interview</b>	<b>25</b>	4 = Candidate presented tom the panel of the interviewers' information with <b>limited in-depth</b> understanding to execute the project according to the methodology submitted 3 = Candidate presented tom the panel of the interviewers' information which <b>demonstrate adequate</b> understanding to execute the project according to the methodology submitted 2 = Candidate presented tom the panel of the interviewers' information which <b>demonstrate an in-depth</b> understanding to execute the project according to the methodology submitted 1 = Candidate presented tom the panel of the interviewers' information which demonstrate <b>an in-depth understanding of the project and also how it will be implemented through innovative thinking principles as explained methodology submitted.</b>
	<b>TOTAL SCORE</b>	<b>100</b>	
	<b>THRESHOLD</b>	<b>70</b>	

Service providers who score 45 or more in total for Gate 1 will be invited to an interview (gate 2) that should be attended by the proposed resource.

Service providers need to score a minimum of 70 points in total for functionality (Gates 1 and 2) in order to be considered for Price and Preference evaluation.

#### **Interview (25 points)**

The scoring of the relevant respondent during the interview process will be as follows:

- i. Clear understanding on how the project objective and key deliverables will be achieved;
- ii. Concise and logical conceptualization of the relevant outcomes through Workplans;
- iii. Practical and innovative institutionalization of the project within the specific environment; and,
- iv. Power point presentation on the approach and methodology



- v. Project management principles articulated
- vi. Interpersonal skills and understanding of local government

**Candidates must score at least 70 points in total for functionality in order to proceed for financial offer and preference evaluation.**

- i. The methodology forms large component of the technical scorecard evaluation. It is therefore, imperative that the requirements of the scope of work and project outputs/outcomes find expression in the methodology submission.
- ii. Any proposal not meeting minimum score of 70% on the technical evaluation will be disqualified and financial proposal will remain unopened.

**1.1.1 Stage 3: Financial Offer & Preference shall be based on the 80/20 PPPFA Principle and the points for evaluation criteria are as follows:**

Evaluation Criteria		Points
1.	Price	80
2.	Broad Based Black Economic Empowerment	20
3.	Total	100

## 19. TECHNICAL ENQUIRIES

COMPILED BY:

Thamsanqa Mohale

Deputy Director: Local Government Infrastructure

Email: Thamsanqa.mohale@treasury.gov.za

Tel. No: 012 315 5925



---

**Special Conditions of Contract**

**NT005- 2017**

**APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

**CLOSING DATE: 05 MAY 2017 AT 11:00 am**

**VALIDITY PERIOD: 90 DAYS**

**S U P P L Y   C H A I N   M A N A G E M E N T**

**A SPECIAL CONDITIONS OF CONTRACT**

This bid and all contracts emanating there from will be subject to the General Conditions of Contract issued in accordance with of the Treasury Regulations 16A published in terms of the Public Finance Management Act, 1999 (Act 1 of 1999). The Special Conditions of Contract are supplementary to that of the General Conditions of Contract. Where, however, the Special Conditions of Contract are in conflict with the General Conditions of Contract, the Special Conditions of Contract prevail.

**1. EVALUATION PROCESS**

**1.1.** The evaluation process comprises the following phases:

**1.1.1. Phase I: Initial screening process**

During this phase bid documents will be reviewed to determine compliance with tax matters and whether original and valid tax clearance certificates have been submitted with the bid documents at closing date and time of bid.

**1.1.2. Phase II: Functionality evaluation as per attached Terms of Reference**

- a) Bids will be evaluated strictly according to the bid evaluation criteria stipulated in the terms of reference.
- b) Bidders must, as part of their bid documents, submit supportive documentation for all technical requirements as indicated hereunder. The panel responsible for scoring the respective bids will evaluate and score all bids based on their submissions and the information provided.
- c) Bidders will not rate themselves, but need to ensure that all information is supplied as required. The Bid Evaluation Committee (BEC) will evaluate and score all responsive bids and will verify all documents submitted by the bidders.
- d) The panel members will individually evaluate the responses received against the following criteria as set out below:



NT005-2017:APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.

The tenders will be evaluated in three stages namely:

**Stage 1: Professional body affiliation and minimum education required**

**Stage 2: Functional evaluation (Qualification/s, Experience, Methodology and Interview)**

**Stage 3: Financial offer and preference evaluation**

***Stage 1: Professional Body affiliation and Minimum Education Required***

Resources who fail to meet any of the requirements below will be disqualified from any further evaluation relating to this Bid.

Requirement	Yes	No
i. Registration with relevant professional body		
ii. A three year tertiary qualification in Built Environment i.e. (Quantity surveying, Civil Engineering and Architecture)		

***Stage 2: Functional Evaluation***

A two gated evaluation process will be applied:

**Gate 1: Qualifications and experience**

**Gate 2: interviews**

**Evaluation Criteria**

No	Evaluation Criteria	Weight	Scoring Criteria
<b>1</b>	<b>Qualifications</b>		
1.1	Built Environment (Quantity surveying, Civil engineering and Architecture)	<b>10</b>	3 = Post degree qualification 2 = Degree or equivalent 1 = Diploma
1.2	Certificate or qualification in programme and/or project management	<b>5</b>	3 = more than a year qualification/ certificate 2 = year qualification/ certificate 1 = less than year qualification/ certificate
<b>2</b>	<b>Experience</b>		

**NT005-2017: APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

2.1	Experience in infrastructure related policies and legislative requirements	10	3 = >10 years 2 = >7-10 years 1 = 4-7 years
2.2	Evidence based municipal infrastructure project management skills.	10	3 = >5 years 2 = >4-5 years 1 = < 4 years
2.3	Knowledge and skills in municipal infrastructure monitoring and reporting.	5	3 = >10 years 2 = >4-10 years 1 = <4 years
3	<b>Methodology and Approach</b>	35	4 = Proposal addressed all areas of the requirements and proposed value-add items such as interesting/innovative approaches are suggested for undertaking the project. 3 = Proposal addressed all areas of the requirements. Confident that the project can be implemented. 2 = Proposal partially addressed some areas of the requirements, but overall not convincing – i.e. scope of work not fully addressed 1 = Proposal Not likely to address the needs of the assignment
	<b>Gate 1 Total</b> (minimum score required for gate 1 = 45)	75	
	<b>Gate 2: Interview</b>	25	4 = Candidate presented to the panel of the interviewers' information with <b>limited in-depth</b> understanding to execute the project according to the methodology submitted 3 = Candidate presented to the panel of the interviewers' information which <b>demonstrate adequate</b> understanding to execute the project according to the methodology submitted 2 = Candidate presented to the panel of the interviewers' information which <b>demonstrate an in-depth</b> understanding to execute the project according to the methodology submitted 1 = Candidate presented to the panel of the interviewers' information which demonstrate <b>an in-depth understanding of the project and also how it will be</b>

**NT005-2017:APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

			<u>implemented through innovative thinking principles as explained methodology submitted.</u>
	<b>TOTAL SCORE</b>	<b>100</b>	
	<b>THRESHOLD</b>	<b>70%</b>	

- e) Individual value scores will be multiplied with the specified weighting for the criterion to obtain the marks scored for all elements. These marks will be added and expressed as a fraction of the best possible score for all criteria. This score will be converted to a percentage and only bidders that have met or exceeded the minimum threshold of 70% for functionality will be evaluated and scored in terms of pricing and socio-economic goals as indicated hereunder.
- f) Any proposal not meeting a minimum score of 70% functionality proposal will be disqualified and the financial proposal will remain unopened
- g) The value scored for each criterion will be multiplied with the specified weighting for the relevant criterion to obtain the marks scored for each criterion. These marks will be added and expressed as a fraction of the best possible score for all criteria.
- h) This score will be converted to a percentage and only bidders that have met or exceeded the minimum threshold of 70 percent for functionality will be evaluated and scored in terms of pricing and socio economic goals as indicated hereunder.
- i) Any proposal not meeting a minimum score of 70 percent functionality proposal will be disqualified and the financial proposal will remain unopened.

**1.1.3. Phase III: Price/Financial stage**

The Price will not be evaluated as this stage.

Price/ Financial proposals must be submitted in South African Rand.  
NT reserves the right to negotiate rates submitted by bidders.

**2. EVALUATION CRITERIA**

- a. In terms of regulation 5 of the Preferential Procurement Regulations pertaining to the Preferential Procurement Policy Framework Act, 2000 (Act 5 of 2000), responsive bids will be adjudicated by the State on the 80/20-preference point for Broad-Based Black

**NT005-2017: APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

economic empowerment in terms of which points are awarded to bidders on the basis of:

- The bid price (maximum 80 points)
  - Broad-based black Economic Empowerment as well as specific goals (maximum 20 points)
- b. The following formula will be used to calculate the points for price in respect of bidders with a Rand value up to R50 000 000 :

$$P_s = 80 \left( 1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

$P_s$  = Points scored for comparative price of bid under consideration

$P_t$  = Comparative price of bid under consideration

$P_{\min}$  = Comparative price of lowest acceptable bid

A maximum of 20 points may be awarded to a bidder for being a Broad-Based Black Economic Empowerment and/or subcontracting with a Broad-Based Black Economic Empowerment stipulated in the Preferential Procurement regulations. For this bid the maximum number of Broad-based black Economic Empowerment status that could be allocated to a bidder is indicated in paragraph 3.1.

- c. The State reserves the right to arrange contracts with more than one contractor.
- d. It is the Government's intention to promote the following Broad-Based Black Economic Empowerment with this bid, and the points to be allocated are indicated against each level of contributor:

## **2.1 POINTS**

The Preferential Procurement Policy Framework Act 2000 (PPPFA) Regulations were gazetted on 8 June 2011 (No. 34350) and effective from 7 December 2011. These regulations require bidders to submit valid original or certified copies of their B-BBEE Status Level Certificates from a SANAS accredited verification agency and accredited Auditing firm, the 80/20 preference points systems will be applied in accordance with the formula and applicable points provided for in the respective status level contributor tables in the Regulations.

**NT005-2017:APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

B-BBEE Status Level of Contributor	Number of points (80/20 system)
1	20
2	18
3	14
4	12
5	8
6	6
7	4
8	2
Non-complaint contributor	0

Failure to capture the required status level and to submit the required B-BBEE status level certificates will lead to a zero (0) status level for non-compliant service providers.

- a. The points scored by a bidder in respect of the points indicated above will be added to the points scored for price.
- b. Bidders are requested to complete the various preference claim forms in order to claim preference points.
- c. Only a bidder who has completed and signed the declaration part of the preference claim form will be considered for B-BBEE status.
- d. Supply Chain Management may, before a bid is adjudicated or at any time, require a bidder to substantiate claims it has made with regard to B-BBEE status.
- e. Points scored will be rounded off to the nearest 2 decimals.
- f. In the event that two or more bids have scored equal total points, the contract will be awarded to the bidder scoring the highest number of points for B-BBEE status. Should two or more bids be equal in all respects, the award shall be decided by drawing of lots.

A contract may, on reasonable and justifiable grounds, be awarded to a bid that did not score the highest number of points.

The bidder must submit copies of identity documents of all directors or shareholders of the company with the bid documents at the closing date and time of the bid.

**NT005-2017:APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

**3. MANDATORY REQUIREMENTS**

- 3.1 The bidder must submit copies of identity documents of directors or shareholders of the company who will be responsible for this project with the bid documents at the closing date and time of the bid, and
- 3.2 Tax clearance certificate as per paragraph 4.
- 3.3 Proof of Registration summary report from Central Supplier Database
- 3.4 Registration with relevant professional body.

**FAILURE TO ADHERE TO THE CONDITION WILL LEAD TO THE BID BEING INVALIDATED.**

**4. TAX CLEARANCE CERTIFICATE**

An original and valid Tax Clearance Certificate issued by the South African Revenue Services certifying that the taxes of the bidder are in order must be submitted at the closing date and time, where consortium/joint ventures/sub-contractor are involved each party to the association must submit a separate valid original Tax Clearance Certificate. Failure to do so your bid will be invalidated.

**5. VALUE ADDED TAX**

All bid prices must be inclusive of 14% Value-Added Tax.

**6. REGISTRATION**

Latest proof of company registration from Companies and Intellectual Property Commission (CIPC) must be submitted in the form of certified copies of the relevant registration documents

**7. CLIENT BASE**

- 7.1 Bidders must have specific experience and submit at least four recent references (in a form of written proof (s) on their company's letterhead including relevant person (s), telephone, fax numbers and e-mails) of similar work undertaken.
- 7.2 National Treasury reserves the right to contact references during the evaluation and adjudication process to obtain information.

**7.3 LEGAL IMPLICATIONS**

Successful service providers must be prepared to enter into a service level agreement with the National Treasury

**NT005-2017:APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

The National Treasury reserves the right to award this tender on a non-exclusive basis, i.e. The National Treasury may procure similar services outside this tender with the view of securing the best service and value for money

**8. COMMUNICATION**

Supply Chain Management will communicate with bidders for, among others, where bid clarity is sought, to obtain information or to extend the validity period. Any

communication either by facsimile, letter or electronic mail or any other form of correspondence to any government official, department or representative of a testing institution or a person acting in an advisory capacity for the National Treasury in respect of this bid between the closing date and the award of the bid by the bidder is prohibited.

**9. COUNTER CONDITIONS**

Bidders' attention is drawn to the fact that amendments to any of the Special Conditions by bidders will result in invalidation of such bids.

**10. PROHIBITION OF RESTRICTIVE PRACTICES**

- a. In terms of section 4(1) of the Competition Act No. 89 of 1998, as amended, an agreement between, or concerted practice by, firms, or a decision by an association of firms, is prohibited if it is between parties in a horizontal relationship and if a bidder(s) is/ are or a contractor(s) was/were involved in:
- directly or indirectly fixing a purchase or selling price or any other trading condition;
  - dividing markets by allocating customers, suppliers, territories or specific types of goods or services; or
  - collusive bidding.
- b. If a bidder(s) or contractor(s), in the judgment of the purchaser, has/have engaged in any of the restrictive practices referred to above, the purchaser may, without prejudice to any other remedy provided for, invalidate the bid(s) for such item(s) offered or terminate

**NT005-2017:APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

the contract in whole or in part and refer the matter to the Competition Commission for investigation and possible imposition of administrative penalties as contemplated in the Competition Act No. 89 of 1998.

**11. FRONTING**

a. The National Treasury supports the spirit of broad based black economic empowerment and recognizes that real empowerment can only be achieved through individuals and businesses conducting themselves in accordance with the Constitution and in an honest, fair, equitable, transparent and legally compliant manner. Against this background the National Treasury condemns any form of fronting.

b. The National Treasury, in ensuring that bidders conduct themselves in an honest manner will, as part of the bid evaluation processes, conduct or initiate the necessary enquiries/investigations to determine the accuracy of the representation made in bid documents. Should any of the fronting indicators as contained in the Guidelines on Complex Structures and Transactions and Fronting, issued by the

Department of Trade and Industry be established during such enquiry/investigation, the onus will be on the bidder / contractor to prove that fronting does not exist. Failure to do so within a period of 14 days from date of notification may invalidate the bid/contract and may also result in the restriction of the bidder/contractor to conduct business with the public sector for a period not exceeding ten years, in addition to any other remedies the National Treasury may have against the bidder/contractor concerned.

**12. PRESENTATION**

National Treasury may require presentations/interviews from short-listed bidders as part of the bid process

**13. TIMEFRAMES AND FORMAL CONTRACT**

Successful bidder(s) will be required to enter into formal contract with the National Treasury.

**14. PACKAGING OF BID**

The bidder shall place both the sealed Technical Proposal and Price/ Financial Proposal envelopes into an outer sealed envelope or package, and must be clearly marked as follows:

**15.1 FUNCTIONALITY/TECHNICAL PROPOSAL**



**NT005-2017:APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONALTREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

Bid No: NT005-2017

Description: APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONALTREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.

Bid closing date and time: 05 May 2017 at 11h00

Name and address of the bidder:

In this envelope, the bidder shall only address the technical aspects of the bid.

## **15.2 PRICE/ FINANCIAL PROPOSAL**

Bid No: NT005-2017

Description: APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONALTREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.

Bid closing date and time: 05 May 2017 at 11h00 Am

Name and address of the bidder:

In this envelope, the bidder shall provide the price/ financial proposal.

**The Technical Proposal envelope must contain one original hard copy document, clearly marked "Original", and four (4) hardcopies, clearly marked "Copy".**

**NT005-2017:APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

**16 CONTACT DETAILS**

Supply Chain Management, 4th floor at National Treasury,

Private Bag x 115, Pretoria, 0001

Physical address: 240 Madiba Street (Vermeulen), Pretoria

For General enquiries: Thivhileli Matshinyatsimbi

E-mail : [Thivhileli\\_Matshinyatsimbi@treasury.gov.za](mailto:Thivhileli_Matshinyatsimbi@treasury.gov.za)

For Technical enquiries: Thamsanqa Mohale

E-mail: [thamsanqa.mohale@treasury.gov.za](mailto:thamsanqa.mohale@treasury.gov.za)

**PRICING SCHEDULE**  
(Professional Services)

NAME OF BIDDER: ..... **BID NO: NT005-2017**

**CLOSING TIME 11:00 ON 05 May 2017**

OFFER TO BE VALID FOR 90 DAYS FROM THE CLOSING DATE OF BID.

ITEM NO	DESCRIPTION	BID PRICE IN RSA CURRENCY INCLUSIVE OF <u>VALUE ADDED TAX</u>
------------	-------------	--

**APPOINTMENT OF A RESOURCE TO ASSIST AND SUPPORT THE NATIONAL TREASURY WITH THE COORDINATION OF LOCAL GOVERNMENT PROJECTS AND INITIATIVES INCLUDING CONTRACT MANAGEMENT FUNCTIONS WITHIN THE LOCAL GOVERNMENT INFRASTRUCTURE UNIT OF THE CHIEF DIRECTORATE: PROVINCIAL AND LOCAL GOVERNMENT INFRASTRUCTURE.**

1. Services must be quoted in accordance with the attached terms of reference (Annexure A).

Total cost of the assignment (R inclusive VAT) R.....

**NB: Bidders are also advised to indicate a total cost breakdown for this assignment. (Annexure A)**

The financial proposal for this assignment should cover for all assignment activities and outputs enumerated above.

2. Period required for commencement with project after acceptance of bid \_\_\_\_\_
3. Are the rates quoted firm for the full period? Yes/No
4. If not firm for the full period, provide details of the basis on which Adjustments will be applied for, for example consumer price index.

\_\_\_\_\_  
\_\_\_\_\_

Any enquiries regarding bidding procedures may be directed to –

**Department: National Treasury**

Contact Person: Thivhileli Matshinyatsimbi/ Sithembile Skosana

E-mail address: [Thivhileli.Matshinyatsimbi@treasury.gov.za](mailto:Thivhileli.Matshinyatsimbi@treasury.gov.za)/ [Sithembile.Skosana@treasury.gov.za](mailto:Sithembile.Skosana@treasury.gov.za)

Any enquiries regarding technical enquiries may be directed to –

Contact Person: Thamsanqa Mohale

Email: [thamsanqa.mohale@treasury.gov.za](mailto:thamsanqa.mohale@treasury.gov.za)

**PLEASE REFER TO THE ATTACHED TERMS OF REFERENCE FOR MORE INFORMATION.**



## SBD 4

## DECLARATION OF INTEREST

1. Any legal person, including persons employed by the state<sup>1</sup>, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes an advertised competitive bid, a limited bid, a proposal or written price quotation). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorised representative declare his/her position in relation to the evaluating/adjudicating authority where-
  - the bidder is employed by the state; and/or
  - the legal person on whose behalf the bidding document is signed, has a relationship with persons/a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.
2. In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.
  - 2.1 Full Name of bidder or his or her representative: .....
  - 2.2 Identity Number:.....
  - 2.3 Position occupied in the Company (director, trustee, shareholder<sup>2</sup>, member):  
.....
  - 2.4 Registration number of company, enterprise, close corporation, partnership agreement or trust:  
.....
  - 2.5 Tax Reference Number: .....
  - 2.6 VAT Registration Number: .....
  - 2.6.1 The names of all directors / trustees / shareholders / members, their individual identity numbers, tax reference numbers and, if applicable, employee / PERSAL numbers must be indicated in paragraph 3 below.

<sup>1</sup>"State" means –

- (a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
- (b) any municipality or municipal entity;
- (c) provincial legislature;
- (d) national Assembly or the national Council of provinces; or
- (e) Parliament.

<sup>2</sup>"Shareholder" means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.

2.7 Are you or any person connected with the bidder presently employed by the state? **YES / NO**

2.7.1 If so, furnish the following particulars:

Name of person / director / trustee / shareholder/ member: .....  
Name of state institution at which you or the person connected to the bidder is employed : .....  
Position occupied in the state institution: .....

Any other particulars:  
.....  
.....  
.....

2.7.2 If you are presently employed by the state, did you obtain the appropriate authority to undertake remunerative work outside employment in the public sector? **YES / NO**

2.7.2.1 If yes, did you attach proof of such authority to the bid document? **YES / NO**

(Note: Failure to submit proof of such authority, where applicable, may result in the disqualification of the bid.

2.7.2.2 If no, furnish reasons for non-submission of such proof:

.....  
.....  
.....

2.8 Did you or your spouse, or any of the company's directors / trustees / shareholders / members or their spouses conduct business with the state in the previous twelve months? **YES / NO**

2.8.1 If so, furnish particulars:

.....  
.....  
.....

2.9 Do you, or any person connected with the bidder, have any relationship (family, friend, other) with a person employed by the state and who may be involved with the evaluation and or adjudication of this bid? **YES / NO**

2.9.1 If so, furnish particulars.

.....



**4 DECLARATION**

I, THE UNDERSIGNED (NAME).....

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2 and 3 ABOVE IS CORRECT.  
I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME SHOULD THIS  
DECLARATION PROVE TO BE FALSE.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder

November 2011



**PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL  
PROCUREMENT REGULATIONS 2017**

This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution

**NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B-BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017.**

**1. GENERAL CONDITIONS**

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2

- a) The value of this bid is estimated to exceed/not exceed R50 000 000 (all applicable taxes included) and therefore the .....80/20..... preference point system shall be applicable; or
- b) Either the 80/20 or 90/10 preference point system will be applicable to this tender (*delete whichever is not applicable for this tender*).

1.3 Points for this bid shall be awarded for:

- (a) Price; and
- (b) B-BBEE Status Level of Contributor.

1.4 The maximum points for this bid are allocated as follows:

	POINTS
<b>PRICE</b>	
<b>B-BBEE STATUS LEVEL OF CONTRIBUTOR</b>	
<b>Total points for Price and B-BBEE must not exceed</b>	<b>100</b>

1.5 Failure on the part of a bidder to submit proof of B-BBEE Status level of contributor together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

## 2. DEFINITIONS

- (a) **“B-BBEE”** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- (b) **“B-BBEE status level of contributor”** means the B-BBEE status of an entity in terms of a code of good practice on black economic empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- (c) **“bid”** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of goods or services, through price quotations, advertised competitive bidding processes or proposals;
- (d) **“Broad-Based Black Economic Empowerment Act”** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (e) **“EME”** means an Exempted Micro Enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;
- (f) **“functionality”** means the ability of a tenderer to provide goods or services in accordance with specifications as set out in the tender documents.
- (g) **“prices”** includes all applicable taxes less all unconditional discounts;
- (h) **“proof of B-BBEE status level of contributor”** means:
  - 1) B-BBEE Status level certificate issued by an authorized body or person;
  - 2) A sworn affidavit as prescribed by the B-BBEE Codes of Good Practice;
  - 3) Any other requirement prescribed in terms of the B-BBEE Act;
- (i) **“QSE”** means a qualifying small business enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;
- (j) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;

## 3. POINTS AWARDED FOR PRICE

### 3.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

**80/20**                                  **or**                                  **90/10**

$$P_s = 80 \left( 1 - \frac{P_t - P_{\min}}{P_{\min}} \right) \quad \text{or} \quad P_s = 90 \left( 1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

$P_s$  = Points scored for price of bid under consideration

$P_t$  = Price of bid under consideration

$P_{\min}$  = Price of lowest acceptable bid

## 4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTOR

- 4.1 In terms of Regulation 6 (2) and 7 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of Contributor	Number of points (90/10 system)	Number of points (80/20 system)
1	10	20
2	9	18
3	6	14
4	5	12
5	4	8
6	3	6
7	2	4
8	1	2
Non-compliant contributor	0	0

## 5. BID DECLARATION

5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

## 6. B-BBEE STATUS LEVEL OF CONTRIBUTOR CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 4.1

6.1 B-BBEE Status Level of Contributor: = .....(maximum of 10 or 20 points)

(Points claimed in respect of paragraph 7.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.)

## 7. SUB-CONTRACTING

7.1 Will any portion of the contract be sub-contracted?

(Tick applicable box)

YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
-----	--------------------------	----	--------------------------

7.1.1 If yes, indicate:

i) What percentage of the contract will be subcontracted.....%

ii) The name of the sub-contractor.....

iii) The B-BBEE status level of the sub-contractor.....

iv) Whether the sub-contractor is an EME or QSE

(Tick applicable box)

YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
-----	--------------------------	----	--------------------------

v) Specify, by ticking the appropriate box, if subcontracting with an enterprise in terms of Preferential Procurement Regulations, 2017:

Designated Group: An EME or QSE which is at least 51% owned by:	EME	QSE
Black people	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Black people who are youth	<input type="checkbox"/>	<input type="checkbox"/>
Black people who are women	<input type="checkbox"/>	<input type="checkbox"/>

Black people with disabilities		
Black people living in rural or underdeveloped areas or townships		
Cooperative owned by black people		
Black people who are military veterans		
<b>OR</b>		
Any EME		
Any QSE		

**8. DECLARATION WITH REGARD TO COMPANY/FIRM**

8.1 Name of company/firm:.....

8.2 VAT registration number:.....

8.3 Company registration number:.....

**8.4 TYPE OF COMPANY/ FIRM**

- Partnership/Joint Venture / Consortium
- One person business/sole propriety
- Close corporation
- Company
- (Pty) Limited

[TICK APPLICABLE BOX]

**8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES**

.....  
 .....  
 .....  
 .....  
 .....

**8.6 COMPANY CLASSIFICATION**

- Manufacturer
- Supplier
- Professional service provider
- Other service providers, e.g. transporter, etc.

[TICK APPLICABLE BOX]

8.7 Total number of years the company/firm has been in business:.....

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contributor indicated in paragraphs 1.4 and 6.1 of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;

- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
- iv) If the B-BBEE status level of contributor has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –
  - (a) disqualify the person from the bidding process;
  - (b) recover costs, losses or damages it has incurred or suffered as a result of that person’s conduct;
  - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
  - (d) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
  - (e) forward the matter for criminal prosecution.

<p>WITNESSES</p> <p>1. ....</p> <p>2. ....</p>
--

<p>.....</p> <p>SIGNATURE(S) OF BIDDERS(S)</p> <p>DATE: .....</p> <p>ADDRESS .....</p> <p>.....</p> <p>.....</p>
--



## DECLARATION OF BIDDER'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

- 1 This Standard Bidding Document must form part of all bids invited.
- 2 It serves as a declaration to be used by institutions in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.
- 3 The bid of any bidder may be disregarded if that bidder, or any of its directors have-
  - a. abused the institution's supply chain management system;
  - b. committed fraud or any other improper conduct in relation to such system; or
  - c. failed to perform on any previous contract.
- 4 In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

Item	Question	Yes	No
4.1	<p>Is the bidder or any of its directors listed on the National Treasury's Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector?  <b>(Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the <i>audi alteram partem</i> rule was applied).</b></p> <p>The Database of Restricted Suppliers now resides on the National Treasury's website(<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) and can be accessed by clicking on its link at the bottom of the home page.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.1.1	If so, furnish particulars:		
4.2	<p>Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)?  <b>The Register for Tender Defaulters can be accessed on the National Treasury's website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) by clicking on its link at the bottom of the home page.</b></p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.2.1	If so, furnish particulars:		
4.3	<p>Was the bidder or any of its directors convicted by a court of law (including a court outside of the Republic of South Africa) for fraud or corruption during the past five years?</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.3.1	If so, furnish particulars:		

4.4	Was any contract between the bidder and any organ of state terminated during the past five years on account of failure to perform on or comply with the contract?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.4.1	If so, furnish particulars:		

**SBD 8**

**CERTIFICATION**

**I, THE UNDERSIGNED (FULL NAME).....  
CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION  
FORM IS TRUE AND CORRECT.**

**I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT,  
ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION  
PROVE TO BE FALSE.**

.....  
**Signature**

.....  
**Date**

.....  
**Position**

.....  
**Name of Bidder**

Js365bW



**CERTIFICATE OF INDEPENDENT BID DETERMINATION**

- 1 This Standard Bidding Document (SBD) must form part of all bids<sup>1</sup> invited.
- 2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).<sup>2</sup> Collusive bidding is a *pe se* prohibition meaning that it cannot be justified under any grounds.
- 3 Treasury Regulation 16A9 prescribes that accounting officers and accounting authorities must take all reasonable steps to prevent abuse of the supply chain management system and authorizes accounting officers and accounting authorities to:
  - a. disregard the bid of any bidder if that bidder, or any of its directors have abused the institution's supply chain management system and or committed fraud or any other improper conduct in relation to such system.
  - b. cancel a contract awarded to a supplier of goods and services if the supplier committed any corrupt or fraudulent act during the bidding process or the execution of that contract.
- 4 This SBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.
- 5 In order to give effect to the above, the attached Certificate of Bid Determination (SBD 9) must be completed and submitted with the bid:

<sup>1</sup> Includes price quotations, advertised competitive bids, limited bids and proposals.

<sup>2</sup> Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.

**CERTIFICATE OF INDEPENDENT BID DETERMINATION**

I, the undersigned, in submitting the accompanying bid:

---

(Bid Number and Description)

In response to the invitation for the bid made by:

---

(Name of Institution)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of: \_\_\_\_\_ that:

(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word "competitor" shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:
  - (a) has been requested to submit a bid in response to this bid invitation;
  - (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
  - (c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder

6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium<sup>3</sup> will not be construed as collusive bidding.
7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
  - (a) prices;
  - (b) geographical area where product or service will be rendered (market allocation)
  - (c) methods, factors or formulas used to calculate prices;
  - (d) the intention or decision to submit or not to submit, a bid;
  - (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
  - (f) bidding with the intention not to win the bid.
8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.
9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

<sup>3</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of Bidder

Js914w 2

**GOVERNMENT PROCUREMENT**  
**GENERAL CONDITIONS OF CONTRACT**

**NOTES**

The purpose of this document is to:

- (i) Draw special attention to certain general conditions applicable to government bids, contracts and orders; and
- (ii) To ensure that clients be familiar with regard to the rights and obligations of all parties involved in doing business with government.

In this document words in the singular also mean in the plural and vice versa and words in the masculine also mean in the feminine and neuter.

- The General Conditions of Contract will form part of all bid documents and may not be amended.
- Special Conditions of Contract (SCC) relevant to a specific bid, should be compiled separately for every bid (if applicable) and will supplement the General Conditions of Contract. Whenever there is a conflict, the provisions in the SCC shall prevail.

## TABLE OF CLAUSES

1. Definitions
2. Application
3. General
4. Standards
5. Use of contract documents and information; inspection
6. Patent rights
7. Performance security
8. Inspections, tests and analysis
9. Packing
10. Delivery and documents
11. Insurance
12. Transportation
13. Incidental services
14. Spare parts
15. Warranty
16. Payment
17. Prices
18. Contract amendments
19. Assignment
20. Subcontracts
21. Delays in the supplier's performance
22. Penalties
23. Termination for default
24. Dumping and countervailing duties
25. Force Majeure
26. Termination for insolvency
27. Settlement of disputes
28. Limitation of liability
29. Governing language
30. Applicable law
31. Notices
32. Taxes and duties

## General Conditions of Contract

### 1. Definitions

1. The following terms shall be interpreted as indicated:
  - 1.1 "Closing time" means the date and hour specified in the bidding documents for the receipt of bids.
  - 1.2 "Contract" means the written agreement entered into between the purchaser and the supplier, as recorded in the contract form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.
  - 1.3 "Contract price" means the price payable to the supplier under the contract for the full and proper performance of his contractual obligations.
  - 1.4 "Corrupt practice" means the offering, giving, receiving, or soliciting of any thing of value to influence the action of a public official in the procurement process or in contract execution.
  - 1.5 "Countervailing duties" are imposed in cases where an enterprise abroad is subsidized by its government and encouraged to market its products internationally.
  - 1.6 "Country of origin" means the place where the goods were mined, grown or produced or from which the services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembly of components, a commercially recognized new product results that is substantially different in basic characteristics or in purpose or utility from its components.
  - 1.7 "Day" means calendar day.
  - 1.8 "Delivery" means delivery in compliance of the conditions of the contract or order.
  - 1.9 "Delivery ex stock" means immediate delivery directly from stock actually on hand.
  - 1.10 "Delivery into consignees store or to his site" means delivered and unloaded in the specified store or depot or on the specified site in compliance with the conditions of the contract or order, the supplier bearing all risks and charges involved until the supplies are so delivered and a valid receipt is obtained.
  - 1.11 "Dumping" occurs when a private enterprise abroad market its goods on own initiative in the RSA at lower prices than that of the country of origin and which have the potential to harm the local industries in the RSA.
  - 1.12 "Force majeure" means an event beyond the control of the supplier and not involving the supplier's fault or negligence and not foreseeable.

Such events may include, but is not restricted to, acts of the purchaser in its sovereign capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions and freight embargoes.

- 1.13 "Fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of any bidder, and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the bidder of the benefits of free and open competition.
- 1.14 "GCC" means the General Conditions of Contract.
- 1.15 "Goods" means all of the equipment, machinery, and/or other materials that the supplier is required to supply to the purchaser under the contract.
- 1.16 "Imported content" means that portion of the bidding price represented by the cost of components, parts or materials which have been or are still to be imported (whether by the supplier or his subcontractors) and which costs are inclusive of the costs abroad, plus freight and other direct importation costs such as landing costs, dock dues, import duty, sales duty or other similar tax or duty at the South African place of entry as well as transportation and handling charges to the factory in the Republic where the supplies covered by the bid will be manufactured.
- 1.17 "Local content" means that portion of the bidding price which is not included in the imported content provided that local manufacture does take place.
- 1.18 "Manufacture" means the production of products in a factory using labour, materials, components and machinery and includes other related value-adding activities.
- 1.19 "Order" means an official written order issued for the supply of goods or works or the rendering of a service.
- 1.20 "Project site," where applicable, means the place indicated in bidding documents.
- 1.21 "Purchaser" means the organization purchasing the goods.
- 1.22 "Republic" means the Republic of South Africa.
- 1.23 "SCC" means the Special Conditions of Contract.
- 1.24 "Services" means those functional services ancillary to the supply of the goods, such as transportation and any other incidental services, such as installation, commissioning, provision of technical assistance, training, catering, gardening, security, maintenance and other such obligations of the supplier covered under the contract.
- 1.25 "Written" or "in writing" means handwritten in ink or any form of electronic or mechanical writing.



- 2. Application**
- 2.1 These general conditions are applicable to all bids, contracts and orders including bids for functional and professional services, sales, hiring, letting and the granting or acquiring of rights, but excluding immovable property, unless otherwise indicated in the bidding documents.
- 2.2 Where applicable, special conditions of contract are also laid down to cover specific supplies, services or works.
- 2.3 Where such special conditions of contract are in conflict with these general conditions, the special conditions shall apply.
- 3. General**
- 3.1 Unless otherwise indicated in the bidding documents, the purchaser shall not be liable for any expense incurred in the preparation and submission of a bid. Where applicable a non-refundable fee for documents may be charged.
- 3.2 With certain exceptions, invitations to bid are only published in the Government Tender Bulletin. The Government Tender Bulletin may be obtained directly from the Government Printer, Private Bag X85, Pretoria 0001, or accessed electronically from [www.treasury.gov.za](http://www.treasury.gov.za)
- 4. Standards**
- 4.1 The goods supplied shall conform to the standards mentioned in the bidding documents and specifications.
- 5. Use of contract documents and information; inspection.**
- 5.1 The supplier shall not, without the purchaser's prior written consent, disclose the contract, or any provision thereof, or any specification, plan, drawing, pattern, sample, or information furnished by or on behalf of the purchaser in connection therewith, to any person other than a person employed by the supplier in the performance of the contract. Disclosure to any such employed person shall be made in confidence and shall extend only so far as may be necessary for purposes of such performance.
- 5.2 The supplier shall not, without the purchaser's prior written consent, make use of any document or information mentioned in GCC clause 5.1 except for purposes of performing the contract.
- 5.3 Any document, other than the contract itself mentioned in GCC clause 5.1 shall remain the property of the purchaser and shall be returned (all copies) to the purchaser on completion of the supplier's performance under the contract if so required by the purchaser.
- 5.4 The supplier shall permit the purchaser to inspect the supplier's records relating to the performance of the supplier and to have them audited by auditors appointed by the purchaser, if so required by the purchaser.
- 6. Patent rights**
- 6.1 The supplier shall indemnify the purchaser against all third-party claims of infringement of patent, trademark, or industrial design rights arising from use of the goods or any part thereof by the purchaser.
- 7. Performance security**
- 7.1 Within thirty (30) days of receipt of the notification of contract award, the successful bidder shall furnish to the purchaser the performance security of the amount specified in SCC.

- 7.2 The proceeds of the performance security shall be payable to the purchaser as compensation for any loss resulting from the supplier's failure to complete his obligations under the contract.
- 7.3 The performance security shall be denominated in the currency of the contract, or in a freely convertible currency acceptable to the purchaser and shall be in one of the following forms:
- (a) a bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the purchaser's country or abroad, acceptable to the purchaser, in the form provided in the bidding documents or another form acceptable to the purchaser; or
  - (b) a cashier's or certified cheque
- 7.4 The performance security will be discharged by the purchaser and returned to the supplier not later than thirty (30) days following the date of completion of the supplier's performance obligations under the contract, including any warranty obligations, unless otherwise specified in SCC.

**8. Inspections,  
tests and  
analyses**

- 8.1 All pre-bidding testing will be for the account of the bidder.
- 8.2 If it is a bid condition that supplies to be produced or services to be rendered should at any stage during production or execution or on completion be subject to inspection, the premises of the bidder or contractor shall be open, at all reasonable hours, for inspection by a representative of the Department or an organization acting on behalf of the Department.
- 8.3 If there are no inspection requirements indicated in the bidding documents and no mention is made in the contract, but during the contract period it is decided that inspections shall be carried out, the purchaser shall itself make the necessary arrangements, including payment arrangements with the testing authority concerned.
- 8.4 If the inspections, tests and analyses referred to in clauses 8.2 and 8.3 show the supplies to be in accordance with the contract requirements, the cost of the inspections, tests and analyses shall be defrayed by the purchaser.
- 8.5 Where the supplies or services referred to in clauses 8.2 and 8.3 do not comply with the contract requirements, irrespective of whether such supplies or services are accepted or not, the cost in connection with these inspections, tests or analyses shall be defrayed by the supplier.
- 8.6 Supplies and services which are referred to in clauses 8.2 and 8.3 and which do not comply with the contract requirements may be rejected.
- 8.7 Any contract supplies may on or after delivery be inspected, tested or analyzed and may be rejected if found not to comply with the requirements of the contract. Such rejected supplies shall be held at the cost and risk of the supplier who shall, when called upon, remove them immediately at his own cost and forthwith substitute them with

supplies which do comply with the requirements of the contract. Failing such removal the rejected supplies shall be returned at the suppliers cost and risk. Should the supplier fail to provide the substitute supplies forthwith, the purchaser may, without giving the supplier further opportunity to substitute the rejected supplies, purchase such supplies as may be necessary at the expense of the supplier.

8.8 The provisions of clauses 8.4 to 8.7 shall not prejudice the right of the purchaser to cancel the contract on account of a breach of the conditions thereof, or to act in terms of Clause 23 of GCC.

#### **9. Packing**

9.1 The supplier shall provide such packing of the goods as is required to prevent their damage or deterioration during transit to their final destination, as indicated in the contract. The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit, and open storage. Packing, case size and weights shall take into consideration, where appropriate, the remoteness of the goods' final destination and the absence of heavy handling facilities at all points in transit.

9.2 The packing, marking, and documentation within and outside the packages shall comply strictly with such special requirements as shall be expressly provided for in the contract, including additional requirements, if any, specified in SCC, and in any subsequent instructions ordered by the purchaser.

#### **10. Delivery and documents**

10.1 Delivery of the goods shall be made by the supplier in accordance with the terms specified in the contract. The details of shipping and/or other documents to be furnished by the supplier are specified in SCC.

10.2 Documents to be submitted by the supplier are specified in SCC.

#### **11. Insurance**

11.1 The goods supplied under the contract shall be fully insured in a freely convertible currency against loss or damage incidental to manufacture or acquisition, transportation, storage and delivery in the manner specified in the SCC.

#### **12. Transportation**

12.1 Should a price other than an all-inclusive delivered price be required, this shall be specified in the SCC.

#### **13. Incidental services**

13.1 The supplier may be required to provide any or all of the following services, including additional services, if any, specified in SCC:

- (a) performance or supervision of on-site assembly and/or commissioning of the supplied goods;
- (b) furnishing of tools required for assembly and/or maintenance of the supplied goods;
- (c) furnishing of a detailed operations and maintenance manual for each appropriate unit of the supplied goods;
- (d) performance or supervision or maintenance and/or repair of the supplied goods, for a period of time agreed by the parties, provided that this service shall not relieve the supplier of any warranty obligations under this contract; and

- (e) training of the purchaser's personnel, at the supplier's plant and/or on-site, in assembly, start-up, operation, maintenance, and/or repair of the supplied goods.
- 13.2 Prices charged by the supplier for incidental services, if not included in the contract price for the goods, shall be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the supplier for similar services.
- 14. Spare parts
  - 14.1 As specified in SCC, the supplier may be required to provide any or all of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the supplier:
    - (a) such spare parts as the purchaser may elect to purchase from the supplier, provided that this election shall not relieve the supplier of any warranty obligations under the contract; and
    - (b) in the event of termination of production of the spare parts:
      - (i) Advance notification to the purchaser of the pending termination, in sufficient time to permit the purchaser to procure needed requirements; and
      - (ii) following such termination, furnishing at no cost to the purchaser, the blueprints, drawings, and specifications of the spare parts, if requested.
- 15. Warranty
  - 15.1 The supplier warrants that the goods supplied under the contract are new, unused, of the most recent or current models, and that they incorporate all recent improvements in design and materials unless provided otherwise in the contract. The supplier further warrants that all goods supplied under this contract shall have no defect, arising from design, materials, or workmanship (except when the design and/or material is required by the purchaser's specifications) or from any act or omission of the supplier, that may develop under normal use of the supplied goods in the conditions prevailing in the country of final destination.
  - 15.2 This warranty shall remain valid for twelve (12) months after the goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the contract, or for eighteen (18) months after the date of shipment from the port or place of loading in the source country, whichever period concludes earlier, unless specified otherwise in SCC.
  - 15.3 The purchaser shall promptly notify the supplier in writing of any claims arising under this warranty.
  - 15.4 Upon receipt of such notice, the supplier shall, within the period specified in SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, without costs to the purchaser.
  - 15.5 If the supplier, having been notified, fails to remedy the defect(s) within the period specified in SCC, the purchaser may proceed to take such remedial action as may be necessary, at the supplier's risk and expense and without prejudice to any other rights which the purchaser may have against the supplier under the contract.

- 16. Payment**
- 16.1 The method and conditions of payment to be made to the supplier under this contract shall be specified in SCC.
- 16.2 The supplier shall furnish the purchaser with an invoice accompanied by a copy of the delivery note and upon fulfillment of other obligations stipulated in the contract.
- 16.3 Payments shall be made promptly by the purchaser, but in no case later than thirty (30) days after submission of an invoice or claim by the supplier.
- 16.4 Payment will be made in Rand unless otherwise stipulated in SCC.
- 17. Prices**
- 17.1 Prices charged by the supplier for goods delivered and services performed under the contract shall not vary from the prices quoted by the supplier in his bid, with the exception of any price adjustments authorized in SCC or in the purchaser's request for bid validity extension, as the case may be.
- 18. Contract amendments**
- 18.1 No variation in or modification of the terms of the contract shall be made except by written amendment signed by the parties concerned.
- 19. Assignment**
- 19.1 The supplier shall not assign, in whole or in part, its obligations to perform under the contract, except with the purchaser's prior written consent.
- 20. Subcontracts**
- 20.1 The supplier shall notify the purchaser in writing of all subcontracts awarded under this contracts if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the supplier from any liability or obligation under the contract.
- 21. Delays in the supplier's performance**
- 21.1 Delivery of the goods and performance of services shall be made by the supplier in accordance with the time schedule prescribed by the purchaser in the contract.
- 21.2 If at any time during performance of the contract, the supplier or its subcontractor(s) should encounter conditions impeding timely delivery of the goods and performance of services, the supplier shall promptly notify the purchaser in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the supplier's notice, the purchaser shall evaluate the situation and may at his discretion extend the supplier's time for performance, with or without the imposition of penalties, in which case the extension shall be ratified by the parties by amendment of contract.
- 21.3 No provision in a contract shall be deemed to prohibit the obtaining of supplies or services from a national department, provincial department, or a local authority.
- 21.4 The right is reserved to procure outside of the contract small quantities or to have minor essential services executed if an emergency arises, the supplier's point of supply is not situated at or near the place where the supplies are required, or the supplier's services are not readily available.

21.5 Except as provided under GCC Clause 25, a delay by the supplier in the performance of its delivery obligations shall render the supplier liable to the imposition of penalties, pursuant to GCC Clause 22, unless an extension of time is agreed upon pursuant to GCC Clause 21.2 without the application of penalties.

21.6 Upon any delay beyond the delivery period in the case of a supplies contract, the purchaser shall, without canceling the contract, be entitled to purchase supplies of a similar quality and up to the same quantity in substitution of the goods not supplied in conformity with the contract and to return any goods delivered later at the supplier's expense and risk, or to cancel the contract and buy such goods as may be required to complete the contract and without prejudice to his other rights, be entitled to claim damages from the supplier.

**22. Penalties**

22.1 Subject to GCC Clause 25, if the supplier fails to deliver any or all of the goods or to perform the services within the period(s) specified in the contract, the purchaser shall, without prejudice to its other remedies under the contract, deduct from the contract price, as a penalty, a sum calculated on the delivered price of the delayed goods or unperformed services using the current prime interest rate calculated for each day of the delay until actual delivery or performance. The purchaser may also consider termination of the contract pursuant to GCC Clause 23.

**23. Termination for default**

23.1 The purchaser, without prejudice to any other remedy for breach of contract, by written notice of default sent to the supplier, may terminate this contract in whole or in part:

- (a) if the supplier fails to deliver any or all of the goods within the period(s) specified in the contract, or within any extension thereof granted by the purchaser pursuant to GCC Clause 21.2;
- (b) if the Supplier fails to perform any other obligation(s) under the contract; or
- (c) if the supplier, in the judgment of the purchaser, has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

23.2 In the event the purchaser terminates the contract in whole or in part, the purchaser may procure, upon such terms and in such manner as it deems appropriate, goods, works or services similar to those undelivered, and the supplier shall be liable to the purchaser for any excess costs for such similar goods, works or services. However, the supplier shall continue performance of the contract to the extent not terminated.

**24. Anti-dumping and countervailing duties and rights**

24.1 When, after the date of bid, provisional payments are required, or anti-dumping or countervailing duties are imposed, or the amount of a provisional payment or anti-dumping or countervailing right is increased in respect of any dumped or subsidized import, the State is not liable for any amount so required or imposed, or for the amount of any such increase. When, after the said date, such a provisional payment is no longer required or any such anti-dumping or countervailing right is abolished, or where the amount of such provisional payment or any such right is reduced, any such favourable

difference shall on demand be paid forthwith by the contractor to the State or the State may deduct such amounts from moneys (if any) which may otherwise be due to the contractor in regard to supplies or services which he delivered or rendered, or is to deliver or render in terms of the contract or any other contract or any other amount which may be due to him

**25. Force Majeure**

25.1 Notwithstanding the provisions of GCC Clauses 22 and 23, the supplier shall not be liable for forfeiture of its performance security, damages, or termination for default if and to the extent that his delay in performance or other failure to perform his obligations under the contract is the result of an event of force majeure.

25.2 If a force majeure situation arises, the supplier shall promptly notify the purchaser in writing of such condition and the cause thereof. Unless otherwise directed by the purchaser in writing, the supplier shall continue to perform its obligations under the contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the force majeure event.

**26. Termination for insolvency**

26.1 The purchaser may at any time terminate the contract by giving written notice to the supplier if the supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the supplier, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the purchaser.

**27. Settlement of Disputes**

27.1 If any dispute or difference of any kind whatsoever arises between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.

27.2 If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the purchaser or the supplier may give notice to the other party of his intention to commence with mediation. No mediation in respect of this matter may be commenced unless such notice is given to the other party.

27.3 Should it not be possible to settle a dispute by means of mediation, it may be settled in a South African court of law.

27.4 Mediation proceedings shall be conducted in accordance with the rules of procedure specified in the SCC.

27.5 Notwithstanding any reference to mediation and/or court proceedings herein,

- (a) the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and
- (b) the purchaser shall pay the supplier any monies due the supplier.

**28. Limitation of liability**

28.1 Except in cases of criminal negligence or willful misconduct, and in the case of infringement pursuant to Clause 6;

- (a) the supplier shall not be liable to the purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss

or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the supplier to pay penalties and/or damages to the purchaser; and

(b) the aggregate liability of the supplier to the purchaser, whether under the contract, in tort or otherwise, shall not exceed the total contract price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment.

- 29. Governing language** 29.1 The contract shall be written in English. All correspondence and other documents pertaining to the contract that is exchanged by the parties shall also be written in English.
- 30. Applicable law** 30.1 The contract shall be interpreted in accordance with South African laws, unless otherwise specified in SCC.
- 31. Notices** 31.1 Every written acceptance of a bid shall be posted to the supplier concerned by registered or certified mail and any other notice to him shall be posted by ordinary mail to the address furnished in his bid or to the address notified later by him in writing and such posting shall be deemed to be proper service of such notice
- 31.2 The time mentioned in the contract documents for performing any act after such aforesaid notice has been given, shall be reckoned from the date of posting of such notice.
- 32. Taxes and duties** 32.1 A foreign supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the purchaser's country.
- 32.2 A local supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted goods to the purchaser.
- 32.3 No contract shall be concluded with any bidder whose tax matters are not in order. Prior to the award of a bid the Department must be in possession of a tax clearance certificate, submitted by the bidder. This certificate must be an original issued by the South African Revenue Services.



DEPARTMENT OF NATIONAL TREASURY



PLEASE COMPLETE QUESTIONNAIRE A OR B

**Contractors'/Suppliers' Questionnaire – Individuals:  
Questionnaire A**

Please answer the questions by marking the appropriate column with an "X".  
Please do not leave out any question relating to your specific circumstances.

<b>Contractor/Supplier Name:</b>	
<b>Natural Persons:</b>	
<b>Surname:</b>	
<b>Initials:</b>	
<b>First two names:</b>	
<b>Title:</b>	
<b>ID number or passport number:</b>	
<b>Nationality:</b>	
<b>Income Tax reference number:</b>	
<b>Date of birth:</b>	
<b>If not a citizen of the RSA, furnish a certified copy of a work permit:</b>	
<b>Postal address and code:</b>	
<b>Residential address and code:</b>	
<b>Telephone numbers:</b>	
<b>Facsimile numbers:</b>	
<b>E-mail address:</b>	
<b>If in possession of a tax clearance certificate or exemption certificate (IRP30), furnish a certified copy thereof:</b>	
<b>Jurisdiction in which contractor is "ordinarily resident" i.e. place of permanent residence:</b>	

## DEPARTMENT OF NATIONAL TREASURY

Question		Yes	No
1.	Do you supply services on behalf of a Labour Broker?		
2.	Are you subject to the control or supervision of the National Treasury (NT)? Including, but not limited to, the following: <ul style="list-style-type: none"> <li>• The manner of duties performed;</li> <li>• The hours of work;</li> <li>• The quality of work.</li> </ul>		
3.	Are you paid at regular intervals i.e. daily, weekly, monthly etc? (If the payments are made at regular intervals or by a rate per time period)		
4.	Will payment to you include any benefits? Including, but not limited to, the following: <ul style="list-style-type: none"> <li>• Leave pay;</li> <li>• Medical aid;</li> <li>• Training;</li> <li>• Sick Leave.</li> </ul>		
5.	Will, or have you be/been in the full time employment of the NT?		
6.	Will you require of the NT to provide any equipment, tools, materials or office space, in order to fulfil the contract?		
7.	Do you supply these, or similar, services only to the NT and not to any other client or the general public?		
8.	Will you be required to work more than 22 hours per week?		
8.1	If "yes", will payment be made on an hourly, daily weekly or monthly basis?		
8.2.1	Will you work solely for the NT?		
8.2.2	Will you provide a written statement to this effect?		
<b>Non-Residents of the RSA</b>			
9.	Will you return to your jurisdiction of residence upon the termination of the contract?		
10.	Is the contract to exceed a period of three years?		
11.	Will you be returning to the jurisdiction of residence during the course of the contract? If so, for what periods of time?		
12.	Is your employer resident in the Republic of South		

**DEPARTMENT OF NATIONAL TREASURY**

<b>Question</b>		<b>Yes</b>	<b>No</b>
	Africa or does a permanent establishment or branch represent the employer in the Republic?		
13.	If a permanent establishment or branch represents the employer in the Republic, will your salary be paid from such permanent establishment or branch?		
14.	Will you be required to perform any work outside of the Republic?		
15.	Do you agree to submit copies of your passport should the NT, so require?		

**PARTICULARS OF PERSON ACTING AS REPRESENTATIVE OF THE ENTERPRISE**

I, the undersigned, confirm that the information provided above is accurate, and that while in receipt of payment from NT, will inform NT of any changes that take place pertaining the information provided above.

<b>Representative's Full Names:</b>	<b>Capacity:</b>	<b>Contact number:</b>
<b>Signature:</b>		<b>Date:</b>



**DEPARTMENT OF NATIONAL TREASURY**



**PLEASE COMPLETE QUESTIONNAIRE A OR B**

**Contractors'/Suppliers' Questionnaire – All Service Providers  
(excluding Individuals): Questionnaire B:**

Please answer the questions by marking the appropriate column with an "X".  
Please do not leave out any question relating to your specific circumstances.

<b>Contractor/Supplier Name:</b>	
<b>Corporate Contractors (including companies, close corporations and trusts):</b>	
<b>Registered name and furnish a certified copy of registration:</b>	
<b>Nature of legal entity:</b>	
<b>Trade name:</b>	
<b>Registration number:</b>	
<b>Date of incorporation:</b>	
<b>Jurisdiction of incorporation:</b>	
<b>Jurisdiction where effective management is performed:</b>	
<b>Income tax reference number:</b>	
<b>Employees' Tax reference number:</b>	
<b>Value Added Tax number and furnish a certified copy of VAT 103 Certificate:</b>	
<b>Postal address and code:</b>	
<b>Physical address and code:</b>	
<b>Telephone numbers:</b>	
<b>Facsimile numbers:</b>	
<b>E-mail address:</b>	

**DEPARTMENT OF NATIONAL TREASURY**

<b>Question</b>	<b>Yes</b>	<b>No</b>
1. Are you a "Labour Broker" i.e. do you provide payment for supplying the National Treasury (NT) with a person/s? If so, furnish a certified copy of an IRP30, which is valid for the period of the contract.		
2. Is the service to be rendered personally by any person, who is a connected person, in relation to the entity? (For example a shareholder, member or their direct family)		
3. Do you employ four or more employees on a full time basis throughout the year, excluding connected parties? If so, are these employees engaged in rendering the service to the NT? (For example secretarial employees would NOT be so engaged)		
4. Would you be regarded as an employee of the NT if the service was rendered by the person directly to the NT, other than on behalf of the contractor?		
5. Do you, the Company, Close Corporation or Trust receive any form of training supplied or paid for by NT? If "yes", please specify the nature and extent of the training:		
6. Are you, the Company Close Corporation or Trust free to choose which tools or equipment, or staff, or raw materials, or routines, patents and technology to use in performing your main duties?		
7. In order to perform your main duties, do you, or does such a person, Company, Close Corporation or Trust, use any tools or equipment supplied or paid for by NT? If "yes", please state the nature thereof:		
8. Are you subject to the control or supervision of the NT, as to the manner in which, or hours during which, the duties are performed or are to be performed in rendering the service?		
9. Will the amounts paid or payable in respect of the service consist of, or include, earnings of any description, which are payable at regular daily, weekly, monthly, or other intervals?		
10. Will more than 80% of your income, during the year		

**DEPARTMENT OF NATIONAL TREASURY**

<b>Question</b>		<b>Yes</b>	<b>No</b>
	of assessment, from services rendered, consist of or be likely to consist of amounts received directly or indirectly from <b>any one client</b> , or any associated institution, in relation to the client?		
11.	Does your contract contain any elements of an employment contract? [i.e. Job titles, reporting structure in organisation, fixed working hours, employment benefits, performance bonuses (excluding bonus and penalties for early or late delivery)]		
12.	Does your contract contain any clause that will enable you to receive payment, even if no work was done?		
13.	Have you ever been classified as a Labour Broker or personal services company (including Close Corporation and Trust) by SARS or any other client?		
14.	If the answer to question 13 was "yes", did anything change that no longer classifies you as a labour broker or personal services company? If "yes", elaborate:		

**PARTICULARS OF PERSON ACTING AS REPRESENTATIVE OF THE ENTERPRISE**

I, the undersigned, confirm that the information provided above is accurate, and that while in receipt of payment from NT, will inform NT of any changes that take place pertaining the information provided above.

<b>Representative's Full Names:</b>	<b>Capacity:</b>	<b>Contact number:</b>
<b>Signature:</b>		<b>Date:</b>





**Entity Bank Account Details**

**Please note that this account MUST be in the name of the entity. No 3rd party payments allowed.**

Account Name

Name of Bank

Account Number

Branch Name

Branch Number

Account Type  
 Cheque Account  
 Savings Account  
 Transmission Account  
 Bond Account  
 Other (Please Specify)

ID Number

Passport Number

Persal Number

Company Registration Number  /  /

CC Registration \*  \* Please include CC/BK where applicable

Practise Number

BANK STAMP

**Please confirm that the above details have been verified against the following screens:**

**ABSA - CIF screen**  
**FNB - Hogans system on the CIS4**  
**STANDARD BANK - Look-up-screen**  
**NEDBANK - Banking Platform under the Client Details Tab**

**Contact Details**

Business  Home

Fax  Cellular Phone

E-mail Address

Contact Person

Entity Signature

Print Name

Date

**PLEASE RETURN TO THE FOLLOWING ADDRESS**

**National Treasury**  
**Private Bag X115, Pretoria, 0001**  
**or**  
**240 Vermeulen Street, Pretoria, 0002**

**Enquiries: Ms J Masemola**  
**Contact no: 012 315 5562**

**NB: All relevant fields must be completed**



# NATIONAL TREASURY REPUBLIC OF SOUTH AFRICA

## ENTITY MAINTENANCE

BAS  LOGIS

### For Office Use Only

Requested by \_\_\_\_\_

Approved by \_\_\_\_\_

Captured by \_\_\_\_\_

Date captured \_\_\_\_\_

Authorised by \_\_\_\_\_

Date authorised \_\_\_\_\_

Captured on Safety web  Yes  No

### The Director-General: National Treasury

- I/We hereby request and authorise you to pay any amounts, which may accrue to me/us to the credit of my/our account with the mentioned bank.
- I/we understand that the credit transfers hereby authorised will be processed by computer through a system known as "ACB - Electronic Fund Transfer Service", and I/we understand that not additional advice of payment will be provided by my/our bank, but that the details of each payment will be printed on my/our bank statement or any accompanying voucher. (This does not apply where it is not customary for banks to furnish bank statements).
- I/we understand that the Department will supply a payment advice in the normal way, and that it will indicate the date on which the funds will be made available on my/our account.
- This authority may be cancelled by me/us by giving thirty days notice by prepaid registered post.
- Information must be validated as per required bank screens.
- I/We understand that the bank details provided should be exactly as per the records held by the bank.
- I/We understand that the Department will not assume responsibility for any delayed payments due to incorrect information supplied.

### Company / Personal Details

Registered Name	_____
Trading Name	_____
Tax Number	_____
VAT Number	_____
Title	_____
Initials	_____
First Name	_____
Surname	_____

### Address Detail

Payment Address	_____
	_____
	_____
Postal Code	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

### Entity Number Type

New entity information  Update entity information

Entity Type:  Individual  Department (Nat)  Prov Gov (ES)

Supplier  Department (Prov)  Loc Authorities

Foreign Supplier  Prov Gov (CG)  Other

Department Number  Logis Supplier Number