

NOTICE ... OF 2011
FINANCIAL SERVICES BOARD
FINANCIAL MARKETS ACT, 2011
(ACT NO. OF 2011)
PRESCRIBED FEES

I, Dube Phineas Tshidi, Registrar of Securities Services, hereby determine under section 114 of the Financial Markets Act, 2011 (Act No. of 2011), the prescribed fees as set out in the Schedule

DP Tshidi

Registrar of Securities Services

SCHEDULE

1. Fees

The following fees must be paid by the persons to the registrar, at the times and in respect of the matters indicated in the subparagraphs below:

- (a) On lodging of an application for an exchange, central securities depository, trade repository or clearing house licence, payable by the applicant concerned, a fee of R 286 000.
- (b) On lodging of an application for the renewal of an exchange or central securities depository, clearing house or trade repository licence payable by the applicant concerned, a fee of R 13 800.
- (c) On lodging of an application for the registration of a change of name, or the amendment of the terms and conditions of a licence, of an exchange, central securities depository, clearing house or trade repository, payable by the applicant concerned, a fee of R 550.
- (d) On making a request for a certified copy of a document evidential of the licensing of an exchange, central securities depository, clearing house or trade repository evidential of the matters referred to in subparagraph (c), payable by the applicant concerned, a fee of R 550.

- (e) On lodging of an application for the approval of a nominee, payable by the applicant concerned, a fee of R 4 300.
- (f) On lodging of an application for the approval of demutualisation of a self-regulatory organisation, associated clearing house or trade repository, payable by the applicant concerned, a fee of R 48 400.
- (g) On lodging of an application for the approval of an amalgamation, merger, transfer or disposal of the business of self-regulatory organisations, associated clearing houses or trade repositories payable by the applicant concerned, a fee of R 48 400.
- (h) On lodging of an application for the approval of an acquisition of shares or any other interest in a self-regulatory organisation or trade repository in terms of section 64(3) and (4) of the Financial Markets Act,2011, payable by the applicant concerned, a fee of R 5 000.
- (i) On lodging of an application for the approval of inclusion of securities issued by an exchange in its own list, payable by the applicant concerned, a listing and documentation inspection fee in terms of the listing requirements of the exchange.
- (j) Where an exchange has included securities issued by it in its own list, the exchange must pay the annual listing fee in terms of the listing requirements of the exchange.

2. Manner of payment of fees

Fees referred to in paragraph 1 are payable by means of a cheque or money transfer in favour of the Financial Services Board.

3. Interest in respect of overdue fees

Fees that are not paid when they are payable in terms of paragraph 1, carry interest at a rate per annum equal to the prevailing prime overdraft rate payable by the Financial Services Board.

4. Commencement

This Notice comes into operation on the date on which the Act comes into operation.